

Java ReentrantLock: Structure & Functionality



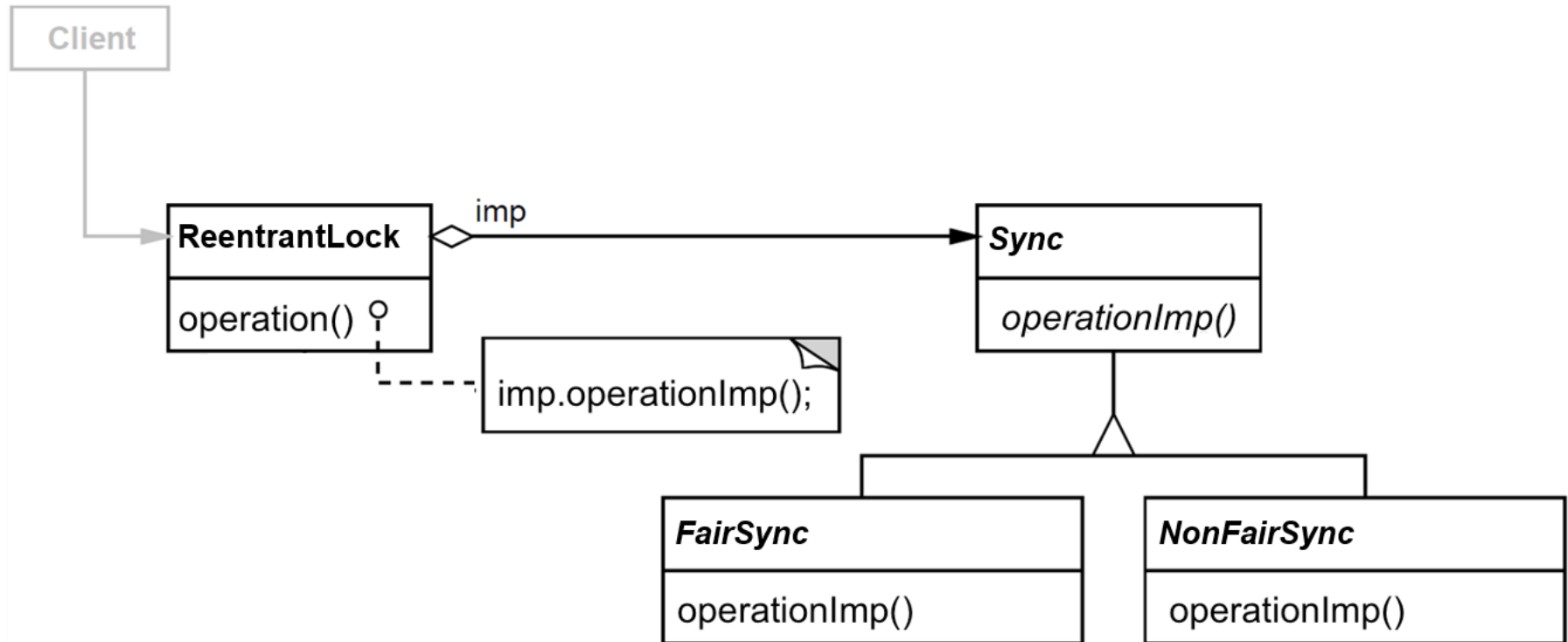
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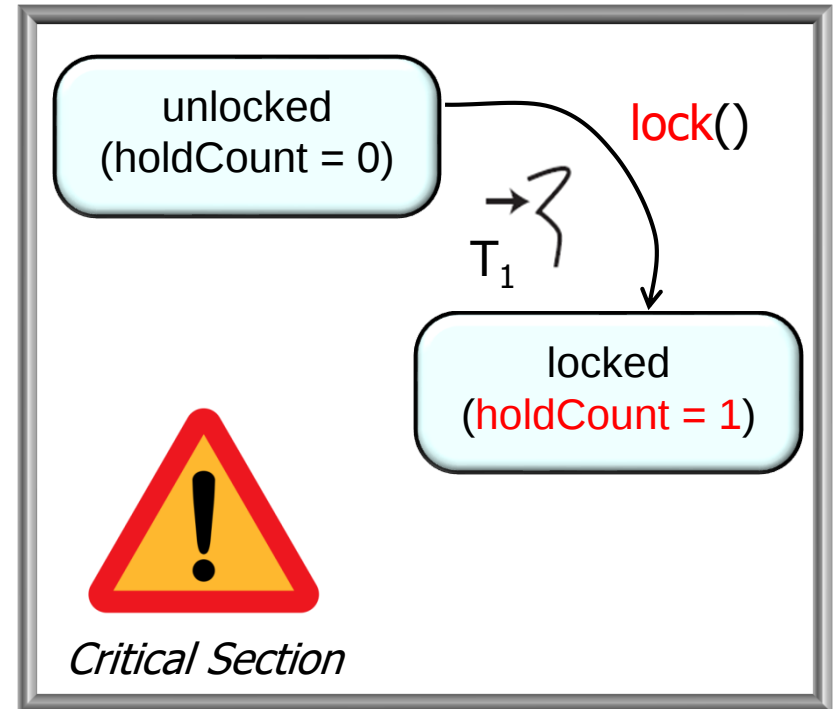
Learning Objectives in this Part of the Lesson

- Understand the concept of mutual exclusion in concurrent programs
- Note a human-known use of mutual exclusion
- Recognize the structure & functionality of Java ReentrantLock



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- Understand the concept of mutual exclusion in concurrent programs
- Note a human-known use of mutual exclusion
- Recognize the structure & functionality of Java ReentrantLock
- Be aware of reentrant mutex semantics



Overview of ReentrantLock

Overview of ReentrantLock

- Provide mutual exclusion to concurrent Java programs

```
public class ReentrantLock
    implements Lock,
    java.io.Serializable {
    ...
}
```

Class ReentrantLock

```
java.lang.Object
    java.util.concurrent.locks.ReentrantLock
```

All Implemented Interfaces:

```
Serializable, Lock
```

```
public class ReentrantLock
    extends Object
    implements Lock, Serializable
```

A reentrant mutual exclusion `Lock` with the same basic behavior and semantics as the implicit monitor lock accessed using `synchronized` methods and statements, but with extended capabilities.

A `ReentrantLock` is *owned* by the thread last successfully locking, but not yet unlocking it. A thread invoking `lock` will return, successfully acquiring the lock, when the lock is not owned by another thread. The method will return immediately if the current thread already owns the lock. This can be checked using methods `isHeldByCurrentThread()`, and `getHoldCount()`.

See docs.oracle.com/javase/8/docs/api/java/util/concurrent/locks/ReentrantLock.html

Overview of ReentrantLock

- Provide mutual exclusion to concurrent Java programs
 - Implements Lock interface

```
public class ReentrantLock
    implements Lock,
    java.io.Serializable {
    ...
}
```

Interface Lock

All Known Implementing Classes:

ReentrantLock, ReentrantReadWriteLock.ReadLock, ReentrantReadWriteLock.WriteLock

```
public interface Lock
```

Lock implementations provide more extensive locking operations than can be obtained using `synchronized` methods and statements. They allow more flexible structuring, may have quite different properties, and may support multiple associated `Condition` objects.

A lock is a tool for controlling access to a shared resource by multiple threads. Commonly, a lock provides exclusive access to a shared resource: only one thread at a time can acquire the lock and all access to the shared resource requires that the lock be acquired first. However, some locks may allow concurrent access to a shared resource, such as the read lock of a `ReadWriteLock`.

The use of `synchronized` methods or statements provides access to the implicit monitor lock associated with every object, but forces all lock acquisition and release to occur in a block-structured way: when multiple locks are acquired they must be released in the opposite order, and all locks must be released in the same lexical scope in which they were acquired.

See docs.oracle.com/javase/8/docs/api/java/util/concurrent/locks/Lock.html

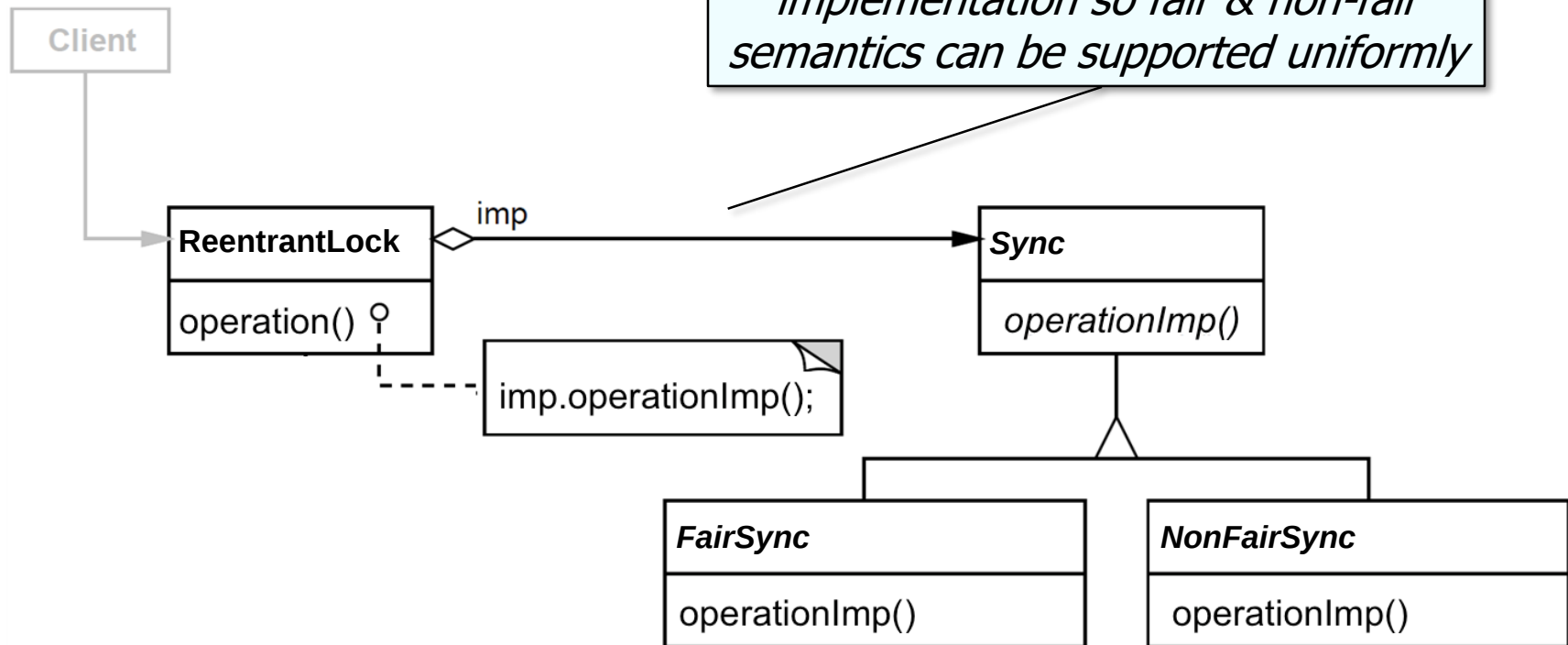
Overview of ReentrantLock

- Applies the *Bridge* pattern

```
public class ReentrantLock
    implements Lock,
        java.io.Serializable {

    ...
}
```

Decouples its interface from its implementation so fair & non-fair semantics can be supported uniformly



See en.wikipedia.org/wiki/Bridge_pattern

Overview of ReentrantLock

- Applies the *Bridge* pattern
 - Locking handled by Sync Implementor hierarchy

```
public class ReentrantLock
    implements Lock,
        java.io.Serializable {
    ...
    /** Performs sync mechanics */
    final Sync sync;
```

Overview of ReentrantLock

- Applies the *Bridge* pattern
 - Locking handled by Sync Implementor hierarchy
 - Inherits functionality from AbstractQueuedSynchronizer

```
public class ReentrantLock
    implements Lock,
        java.io.Serializable {
    ...
    /** Performs sync mechanics */
    final Sync sync;

    /** Sync implementation for
        ReentrantLock */
    abstract static class
        Sync extends
            AbstractQueuedSynchronizer
        { ... }
    ...
}
```

See docs.oracle.com/javase/8/docs/api/java/util/concurrent/locks/AbstractQueuedSynchronizer.html

Overview of ReentrantLock

- Applies the *Bridge* pattern
 - Locking handled by Sync Implementor hierarchy
 - Inherits functionality from AbstractQueuedSynchronizer
 - Many Java synchronizers based on FIFO wait queues use this framework



```
public class ReentrantLock
    implements Lock,
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    ...
    /** Performs sync mechanics */
    final Sync sync;

    /** Sync implementation for
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    abstract static class
        Sync extends
            AbstractQueuedSynchronizer
    { ... }
    ...
}
```

Overview of ReentrantLock

- Applies the *Bridge* pattern
 - Locking handled by Sync Implementor hierarchy
 - Inherits functionality from AbstractQueuedSynchronizer
 - Defines NonFairSync & FairSync subclasses with non-FIFO & FIFO semantics

```
public class ReentrantLock
    implements Lock,
        java.io.Serializable {

    ...
    /** Performs sync mechanics */
    final Sync sync;

    /** Sync implementation for
        ReentrantLock */
    abstract static class
        Sync extends
            AbstractQueuedSynchronizer
    { ... }

    static final class NonFairSync
        extends Sync { ... }

    static final class FairSync
        extends Sync { ... }
```

See <src/share/classes/java/util/concurrent/locks/ReentrantLock.java>

Overview of ReentrantLock

- Applies the *Bridge* pattern
 - Locking handled by Sync Implementor hierarchy
- Constructor enables fair vs. non-fair lock acquisition model

```
public class ReentrantLock
    implements Lock,
        java.io.Serializable {

    ...
    public ReentrantLock
        (boolean fair) {
        sync = fair
            ? new FairSync()
            : new NonfairSync();
    }
    ...
}
```

This param determines whether FairSync or NonfairSync is used

Overview of ReentrantLock

- Applies the *Bridge* pattern
 - Locking handled by Sync Implementor hierarchy
- Constructor enables fair vs. non-fair lock acquisition model
 - These models apply the same pattern used by Semaphore & ReentrantReadWriteLock

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public class ReentrantLock
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```

*Ensures strict "FIFO" fairness,
at the expense of performance*



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    ...
    public ReentrantLock
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    }
    ...
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```

*Enables faster performance
at the expense of fairness*



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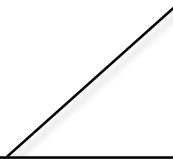
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    ...

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    public ReentrantLock() {
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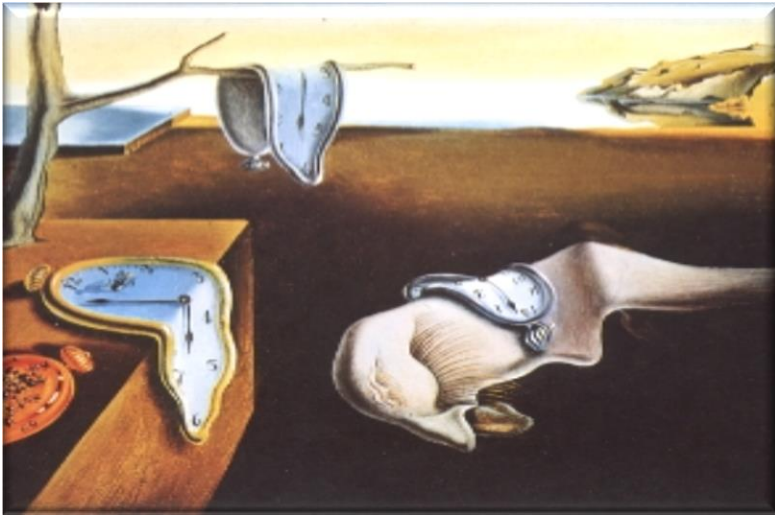
    ...
}
```



The default behavior favors performance over fairness

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        (boolean fair) {
        sync = fair
            ? new FairSync()
            : new NonfairSync();
    }

    public ReentrantLock() {
        sync = new NonfairSync();
    }

    ...
}
```

FairSync is generally much slower than NonfairSync, so use it accordingly

Overview of ReentrantLock

- ReentrantLock is similar to the monitor lock provided by Java's built-in monitor objects

void	<u>lock()</u> – Acquires the lock
void	<u>unlock()</u> – Attempts to release this lock

See upcoming lessons on "*Java Built-in Monitor Object*"

Overview of ReentrantLock

- ReentrantLock is similar to the monitor lock provided by Java's built-in monitor objects
- But also provides extended capabilities

void	<u>lock()</u> – Acquires the lock
void	<u>unlock()</u> – Attempts to release this lock
void	<u>lockInterruptibly()</u> – Acquires the lock unless the current thread is interrupted
boolean	<u>tryLock()</u> – Acquires the lock only if it is not held by another thread at the time of invocation
boolean	<u>tryLock</u> (long timeout, TimeUnit unit) – Acquires the lock if it is not held by another thread within the given waiting time and the current thread has not been interrupted

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In contrast, Java's synchronized methods/statements are not interruptible

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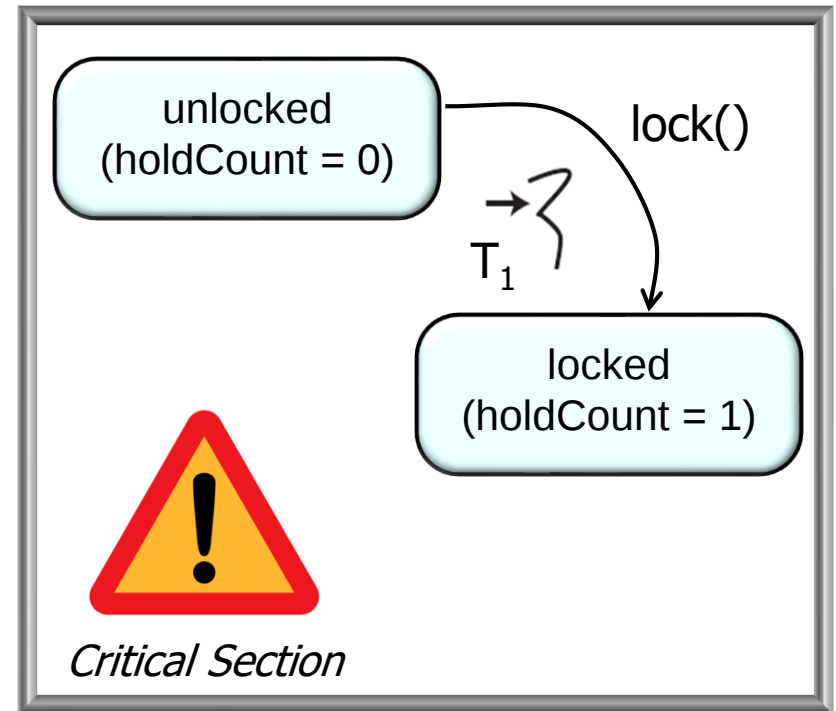
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boolean	<u>tryLock</u> (long timeout, TimeUnit unit) – Acquires the lock if it is not held by another thread within the given waiting time and the current thread has not been interrupted

Likewise, Java's synchronized methods/statements aren't non-blocking

Overview of Reentrant Mutex Semantics

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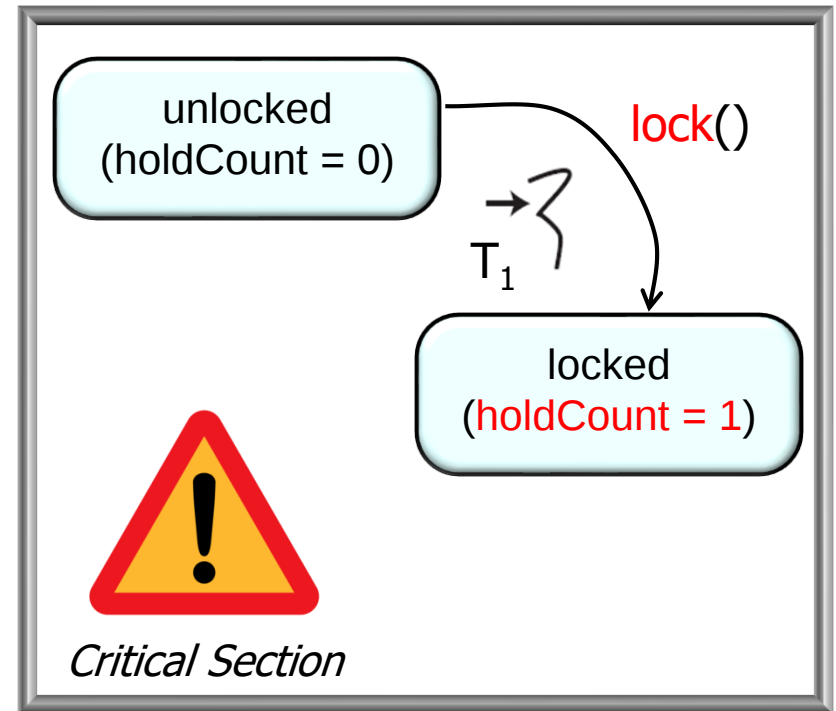
- A ReentrantLock supports “reentrant mutex” semantics



See en.wikipedia.org/wiki/Reentrant_mutex

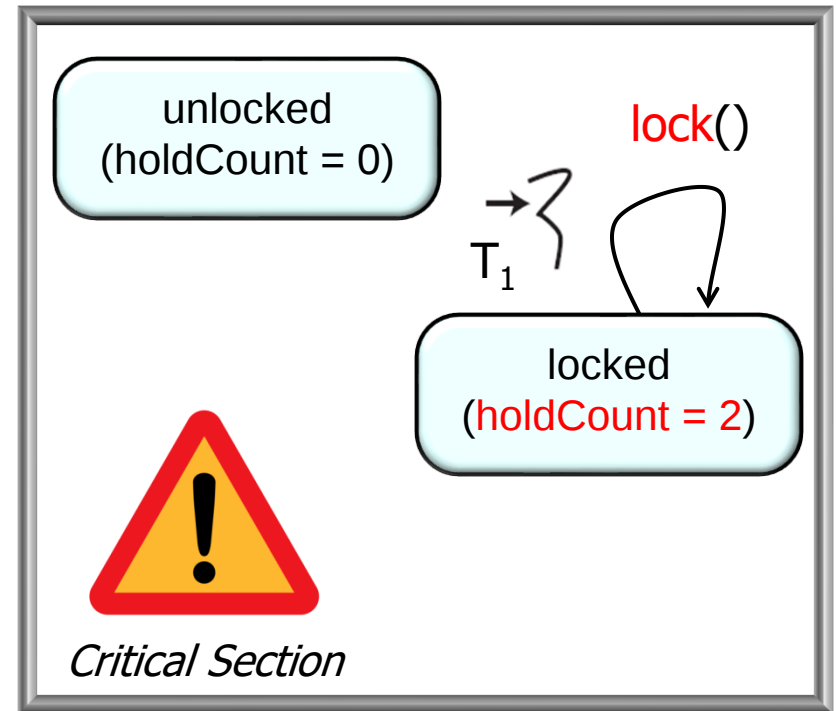
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- A ReentrantLock supports “reentrant mutex” semantics
 - The thread that hold the mutex can reacquire it without self-deadlock



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 - The thread that hold the mutex can reacquire it without self-deadlock



Overview of Reentrant Mutex Semantics

- Reentrant mutex semantics add a bit more overhead relative to non-recursive semantics due to extra software logic & synchronization



```
boolean nonfairTryAcquire
    (int acquires) {
    Thread t =
        Thread.currentThread();
    int c = getState();
    if (c == 0) {
        if (compareAndSetState(0,
                                acquires)) {
            setExclusiveOwnerThread(t);
            return true;
        }
    } else if (t ==
        getExclusiveOwnerThread()) {
        int nextc = c + acquires;
        ...
        setState(nextc);
        return true;
    }
    return false;
}
```

See <src/share/classes/java/util/concurrent/locks/ReentrantLock.java>

Overview of Reentrant Mutex Semantics

- Reentrant mutex semantics add a bit more overhead relative to non-recursive semantics due to extra software logic & synchronization

*Atomically read the
current hold count*

```
boolean nonfairTryAcquire
(int acquires) {
    Thread t =
        Thread.currentThread();
    int c = getState();
    if (c == 0) {
        if (compareAndSetState(0,
                               acquires)) {
            setExclusiveOwnerThread(t);
            return true;
        }
    } else if (t ==
                getExclusiveOwnerThread()) {
        int nextc = c + acquires;
        ...
        setState(nextc);
        return true;
    }
    return false;
}
```

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Atomically acquire the lock if it's available

```
boolean nonfairTryAcquire
    (int acquires) {
    Thread t =
        Thread.currentThread();
    int c = getState();
    if (c == 0) {
        if (compareAndSetState(0,
                               acquires)) {
            setExclusiveOwnerThread(t);
            return true;
        }
    } else if (t ==
        getExclusiveOwnerThread()) {
        int nextc = c + acquires;
        ...
        setState(nextc);
        return true;
    }
    return false;
}
```

Overview of Reentrant Mutex Semantics

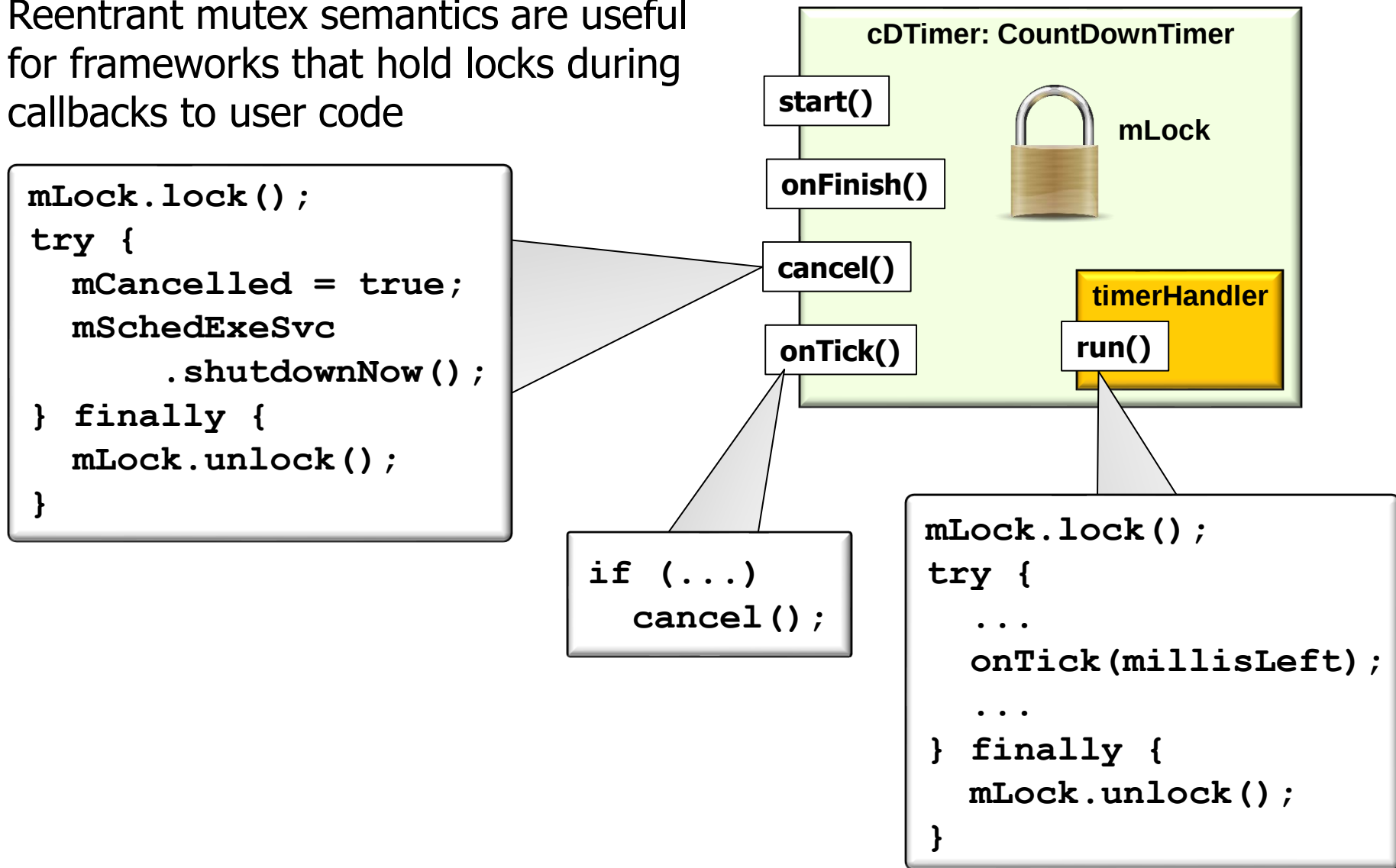
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            setExclusiveOwnerThread(t);
            return true;
        }
    } else if (t ==
        getExclusiveOwnerThread()) {
        int nextc = c + acquires;
        ...
        setState(nextc);
        return true;
    }
    return false;
}
```

Simply increment lock count if the current thread is lock owner

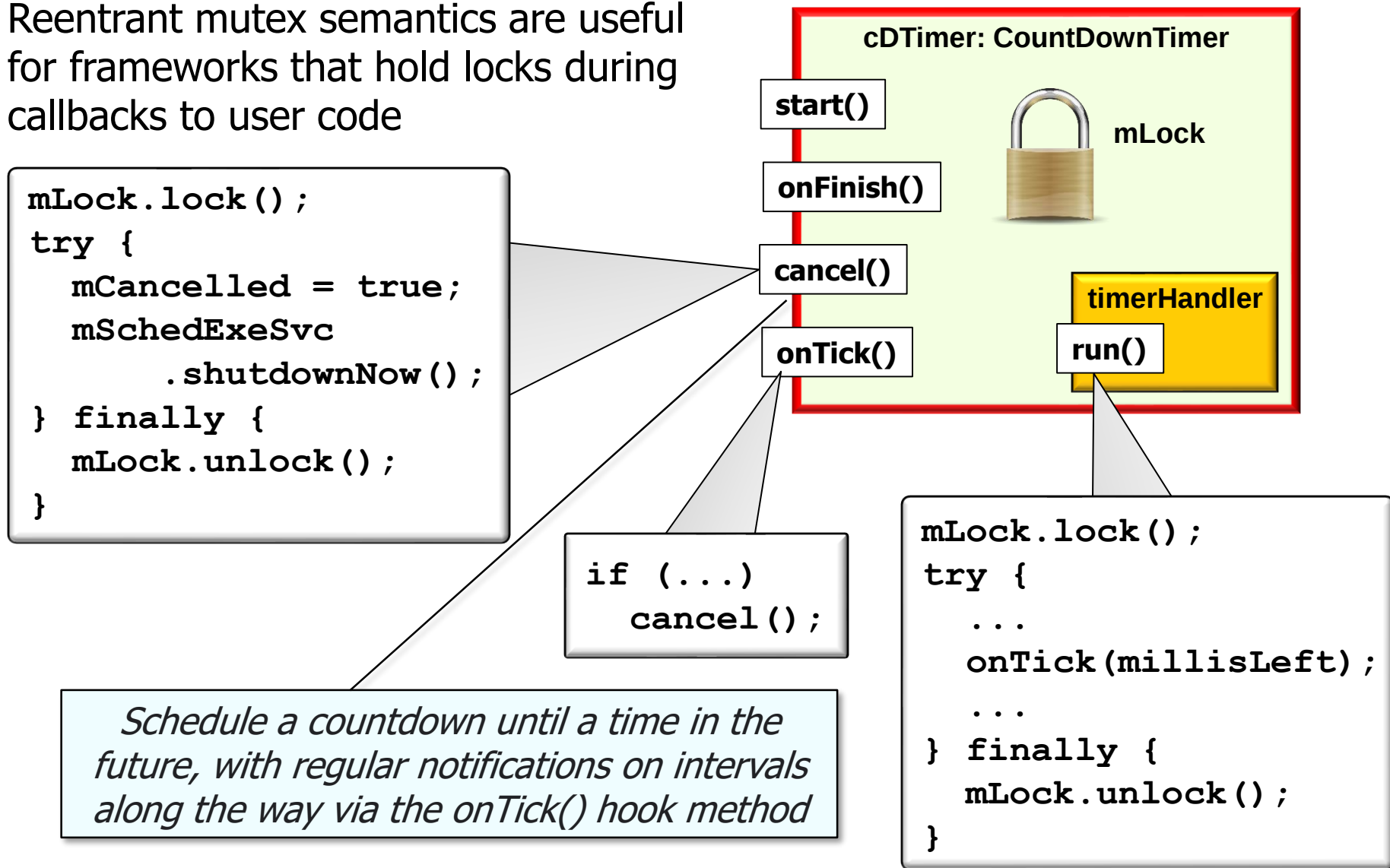
Overview of Reentrant Mutex Semantics

- Reentrant mutex semantics are useful for frameworks that hold locks during callbacks to user code



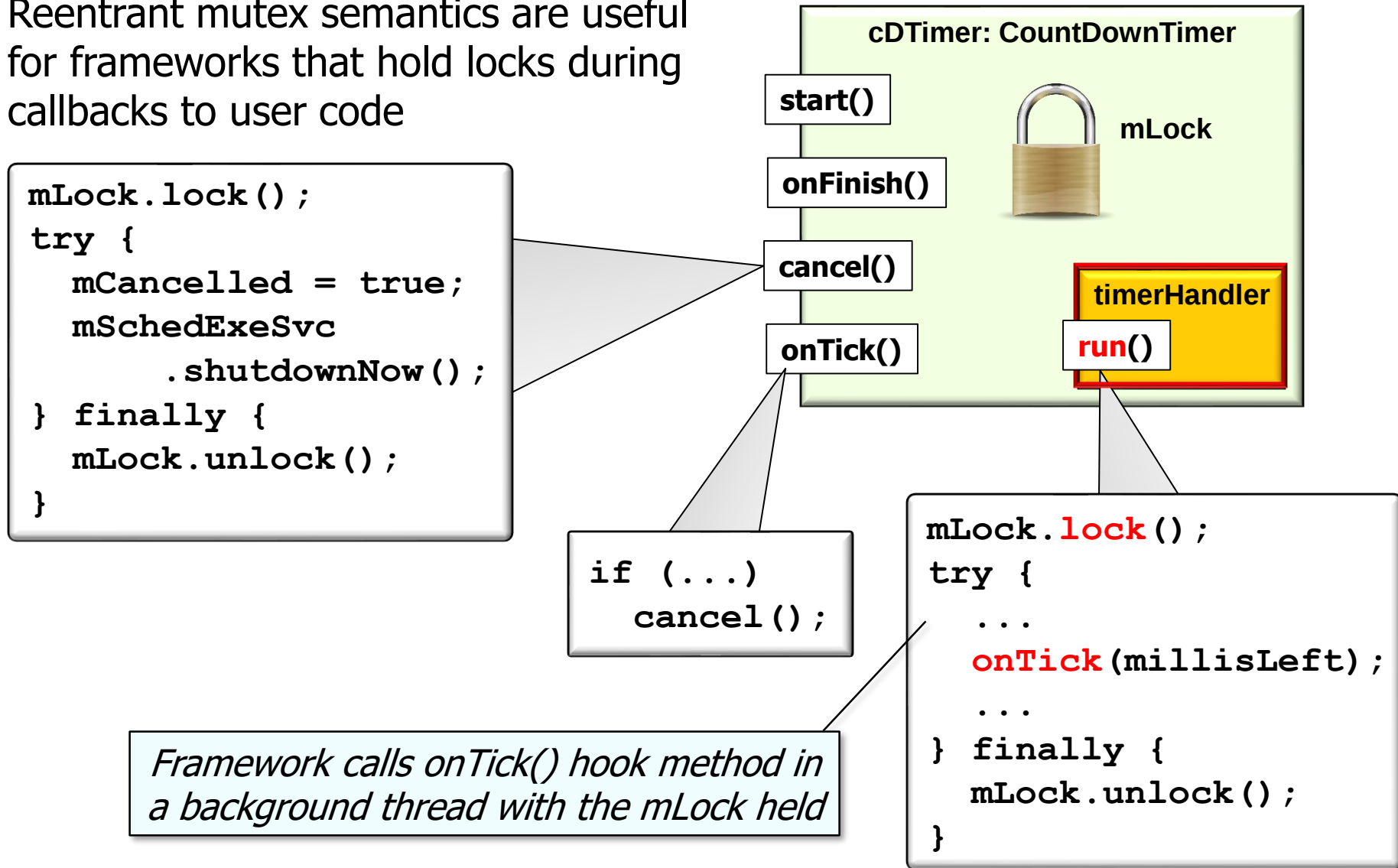
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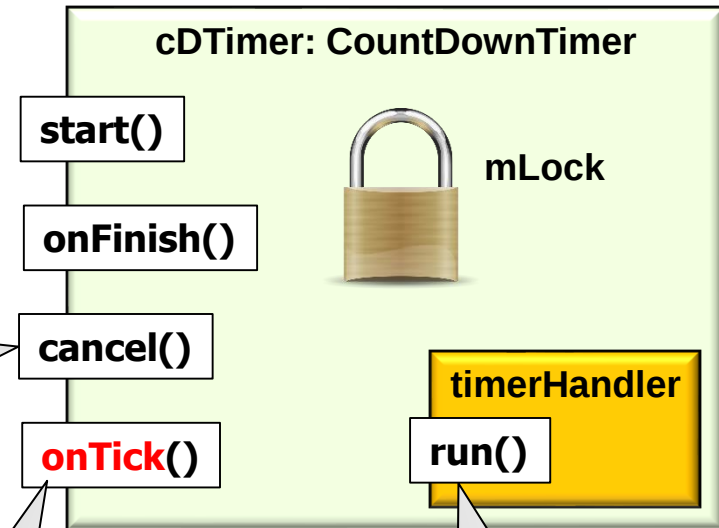
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- Reentrant mutex semantics are useful for frameworks that hold locks during callbacks to user code

```
mLock.lock();  
try {  
    mCancelled = true;  
    mSchedExeSvc  
        .shutdownNow();  
} finally {  
    mLock.unlock();  
}
```



```
if (...)  
    cancel();
```

The app can override the `onTick()` hook method to conditionally call `cancel()`

```
mLock.lock();  
try {  
    ...  
    onTick(millisLeft);  
    ...  
} finally {  
    mLock.unlock();  
}
```

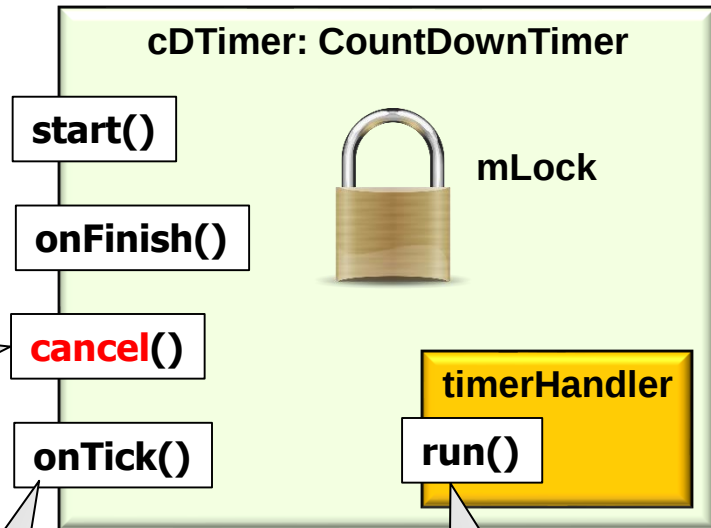
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mLock.lock();  
try {  
    mCancelled = true;  
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        .shutdownNow();  
} finally {  
    mLock.unlock();  
}
```

cancel() also acquires mLock, which must be recursive or self-deadlock will occur

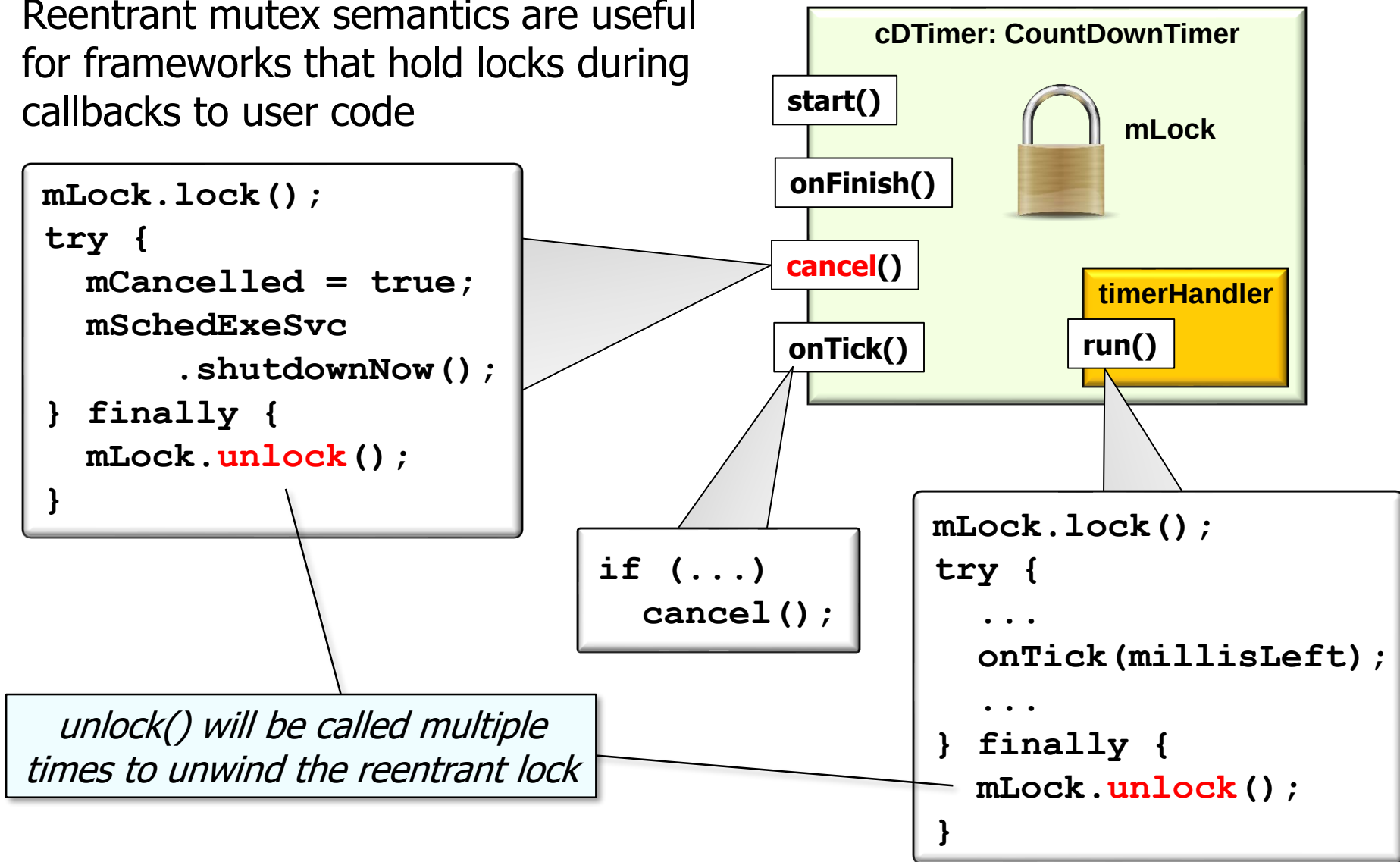
```
if (...)  
    cancel();
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mLock.lock();  
try {  
    ...  
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    mLock.unlock();  
}
```

Overview of Reentrant Mutex Semantics

- Reentrant mutex semantics are useful for frameworks that hold locks during callbacks to user code



End of Java ReentrantLock: Structure & Functionality