## Types of Java Synchronizer Capabilities (Part 1)



Douglas C. Schmidt <u>d.schmidt@vanderbilt.edu</u> www.dre.vanderbilt.edu/~schmidt

> Institute for Software Integrated Systems Vanderbilt University Nashville, Tennessee, USA



#### Learning Objectives in this Part of the Lesson

- Be aware of the Java memory model
- Understand the purpose of Java synchronizers
- Recognize the pervasiveness of Java synchronizers
- Know the types of capabilities provided by Java synchronizers

Category	Definition	
Atomic operations	An action that effectively happens all at once or not at all	
Mutual exclusion	Allows concurrent access & updates to shared mutable data without race conditions	Open Door Slowly
Coordination	Ensures computations run properly, e.g., in the right order, at the right time, under the right conditions, etc.	
Barrier synchronization	Ensures that any thread(s) must stop at a certain point & cannot proceed until all other thread(s) reach this barrier	

#### Learning Objectives in this Part of the Lesson

- Be aware of the Java memory model
- Understand the purpose of Java synchronizers
- Recognize the pervasiveness of Java synchronizers
- Know the types of capabilities provided by Java synchronizers

Category	Definition
Atomic operations	An action that effectively happens all at once or not at all
Mutual exclusion	Allows concurrent access & updates to shared mutable data without race conditions
Coordination	Ensures computations run properly, e.g., in the right order, at the right time, under the right conditions, etc.
Barrier synchronization	Ensures that any thread(s) must stop at a certain point & cannot proceed until all other thread(s) reach this barrier

3

#### Learning Objectives in this Part of the Lesson

- Be aware of the Java memory model
- Understand the purpose of Java synchronizers
- Recognize the pervasiveness of Java synchronizers
- Know the types of capabilities provided by Java synchronizers

Category	Definition	
Atomic operations	An action that effectively happens all at once or not at all	
Mutual exclusion	Allows concurrent access & updates to shared mutable data without race conditions	
Coordination Ensures computations run properly, e.g., in the right order, at the right tin under the right conditions, etc.		
Barrier synchronization	Ensures that any thread(s) must stop at a certain point & cannot proceed until all other thread(s) reach this barrier	



• Java synchronizers provide various types of capabilities

Category	Definition	
Atomic operations	An action that effectively happens all at once or not at all	
Mutual exclusion	Allows concurrent access & updates to shared mutable data without race conditions	Open Door Slowly
Coordination	Ensures computations run properly, e.g., in the right order, at the right time, under the right conditions, etc.	
Barrier synchronization	Ensures that any thread(s) must stop at a certain point & cannot proceed until all other thread(s) reach this barrier	

- Java synchronizers provide various types of capabilities, e.g.
  - Atomic ordering
    - Ensures an action happens all at once or not at all



See en.wikipedia.org/wiki/Linearizability

- Java synchronizers provide various types of capabilities, e.g.
  - Atomic ordering
    - Ensures an action happens all at once or not at all
    - Operations on a field in thread<sub>1</sub> occur all at once wrt operations on the field in thread<sub>2..n</sub>

time	Thread <sub>1</sub>	Thread <sub>2</sub>		Long field
	initialized			0
	read field		←	0
	increase field by 1			0
	write back		$\rightarrow$	1
	1	read field	←	1
		increase field by 1		1
		write back	$\rightarrow$	2

Atomicity does not occur on primitive Java data types without using synchronizers

See <a href="https://docs.oracle.com/javase/tutorial/essential/concurrency/atomic.html">docs.oracle.com/javase/tutorial/essential/concurrency/atomic.html</a>

 Java synchronizers provide various types of capabilities, e.g.

#### Atomic ordering

- Ensures an action happens all at once or not at all
- Operations on a field in thread<sub>1</sub> occur all at once wrt operations on the field in thread<sub>2..n</sub>
- Atomic ordering is supported by the Java atomic package

#### Package java.util.concurrent.atomic

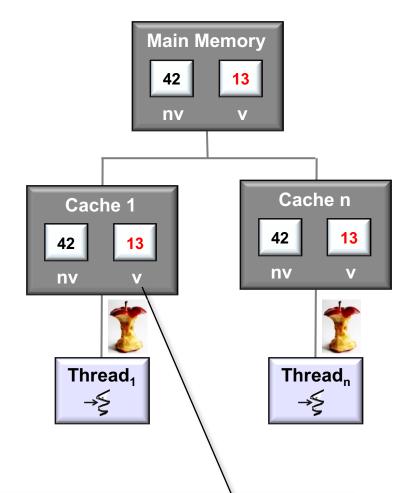
A small toolkit of classes that support lock-free thread-safe programming on single variables.

See: Description

Class Summary	
Class	Description
AtomicBoolean	A boolean value that may be updated atomically.
AtomicInteger	An int value that may be updated atomically.
AtomicIntegerArray	An int array in which elements may be updated atomically.
AtomicIntegerFieldUpdater <t></t>	A reflection-based utility that enables atomic updates to designated volatile int fields of designated classes.
AtomicLong	A long value that may be updated atomically.
AtomicLongArray	A long array in which elements may be updated atomically.
AtomicLongFieldUpdater <t></t>	A reflection-based utility that enables atomic updates to designated volatile long fields of designated classes.
AtomicMarkableReference <v></v>	An AtomicMarkableReference maintains an object reference along with a mark bit, that can be updated atomically.
AtomicReference <v></v>	An object reference that may be updated atomically.
AtomicReferenceArray <e></e>	An array of object references in which elements may be updated atomically.
AtomicReferenceFieldUpdater <t,v></t,v>	A reflection-based utility that enables atomic updates to designated volatile reference fields of designated classes.
AtomicStampedReference <v></v>	An AtomicStampedReference maintains an object reference along with an integer "stamp", that can be updated atomically.

See docs.oracle.com/javase/8/docs/api/java/util/concurrent/atomic/package-summary.html

- Java synchronizers provide various types of capabilities, e.g.
  - Atomic ordering
    - Ensures an action happens all at once or not at all
    - Operations on a field in thread<sub>1</sub> occur all at once wrt operations on the field in thread<sub>2..n</sub>
    - Atomic ordering is supported by the Java atomic package
    - Atomic ordering is also supported by the Java volatile type qualifier



*The volatile type qualifier ensures a variable is read from & written to main memory & not cached* 

See <a href="mailto:en.wikipedia.org/wiki/Volatile\_variable#In\_Java">en.wikipedia.org/wiki/Volatile\_variable#In\_Java</a>

- Java synchronizers provide various types of capabilities, e.g.
  - Atomic ordering
  - Mutual exclusion
    - Prevents simultaneous access to a shared resource in a critical section



See en.wikipedia.org/wiki/Mutual\_exclusion

Thread<sub>2</sub>

**Shared State** 

- Java synchronizers provide various types of capabilities, e.g.
  - Atomic ordering
  - Mutual exclusion
    - Prevents simultaneous access to a shared resource in a critical section

Race conditions occur when a program depends on the sequence or timing of threads for it to operate properly

See <a href="mailto:en.wikipedia.org/wiki/Race\_condition#Software">en.wikipedia.org/wiki/Race\_condition#Software</a>

**Thread**<sub>1</sub>

time

- Java synchronizers provide various types of capabilities, e.g.
  - Atomic ordering
  - Mutual exclusion
    - Prevents simultaneous access to a shared resource in a critical section
    - Read/write conflicts
      - If one thread reads while another thread writes concurrently, the field that's read may be inconsistent

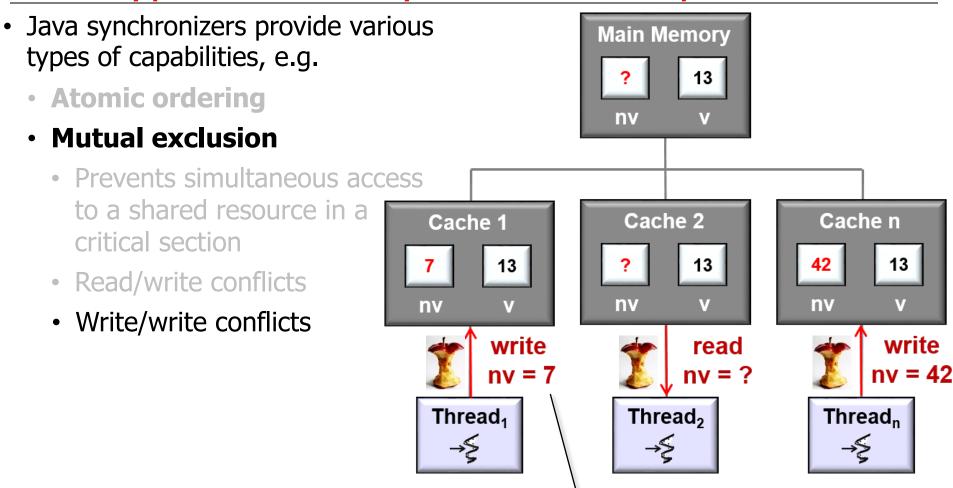
	$Thread_1$	Thread <sub>2</sub>		Long field
	initialized			0
	read field		$\leftarrow$	0
	increase field by 1			0
	write back	read field	$\begin{array}{c} \leftarrow \\ \rightarrow \end{array}$	0 or 1?
d	t k			
		operations co least one is a		

See <a href="mailto:en.wikipedia.org/wiki/Read-write\_conflict">en.wikipedia.org/wiki/Read-write\_conflict</a>

- Java synchronizers provide various types of capabilities, e.g.
  - Atomic ordering
  - Mutual exclusion
    - Prevents simultaneous access to a shared resource in a critical section
    - Read/write conflicts
    - Write/write conflicts
      - If two threads try to write to same field concurrently, the result may be inconsistent

		-		
	Thread <sub>1</sub>	Thread <sub>2</sub>		Long field
	initialized			0
time	read field		←	0
tir		read field	←	0
	increase field by 2			0
Ą		increase field by 1		0
ne	write back	write back	$\rightarrow$	1 or 2?
	This can yield a "lost update"			

See <a href="mailto:en.wikipedia.org/wiki/Write-write\_conflict">en.wikipedia.org/wiki/Write-write\_conflict</a>



These problems often occur in multi-core processors with "weak" memory ordering due to core caches that allow "out-of-order" load & store operations

See <a href="mailto:en.wikipedia.org/wiki/Memory\_ordering">en.wikipedia.org/wiki/Memory\_ordering</a>

- Java synchronizers provide various types of capabilities, e.g.
  - Atomic ordering
  - Mutual exclusion
    - Prevents simultaneous access to a shared resource in a critical section
    - Read/write conflicts
    - Write/write conflicts
    - Mutual exclusion is supported by the Java locks package
      - e.g., ReentrantLock, Reentrant ReadWriteLock, StampedLock, etc.

#### Package java.util.concurrent.locks

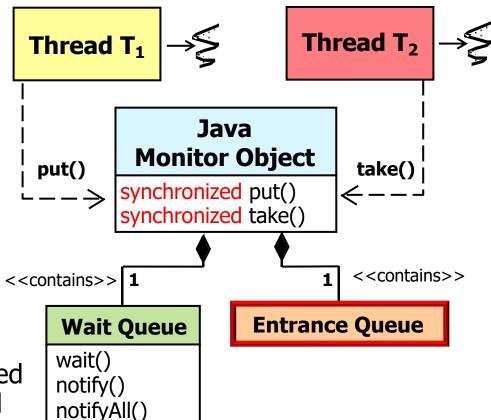
Interfaces and classes providing a framework for locking and waiting for conditions that is distinct from built-in synchronization and monitors.

See: Description

Interface Summary	/		
Interface	Description		
Condition	and <b>notifyA</b> multiple wai	Condition factors out the Object monitor methods (wait, notify and notifyAll) into distinct objects to give the effect of having multiple wait-sets per object, by combining them with the use of arbitrary Lock implementations.	
Lock		Lock implementations provide more extensive locking operations than can be obtained using synchronized methods and statements.	
ReadWriteLock		eLock maintains a pair of associated <b>locks</b> , one for read ons and one for writing.	
Class Summary			
Class		Description	
AbstractOwnableSynchronizer		A synchronizer that may be exclusively owned by a thread.	
AbstractQueuedLongSynchronizer		A version of <b>AbstractQueuedSynchronizer</b> in which synchronization state is maintained as a <b>long</b> .	
AbstractQueuedSynchronizer		Provides a framework for implementing blocking lock and related synchronizers (semaphores, events, etc) that rely on first-in-first-out (FIFO) wait queues.	
LockSupport		Basic thread blocking primitives for creating locks an other synchronization classes.	
ReentrantLock		A reentrant mutual exclusion <b>Lock</b> with the same basic behavior and semantics as the implicit monitor lock accessed using synchronized methods and statements, but with extended capabilities.	
ReentrantReadWriteLock		An implementation of <b>ReadWriteLock</b> supporting similar semantics to <b>ReentrantLock</b> .	

See <u>docs.oracle.com/javase/8/docs/api/java/</u> util/concurrent/locks/package-summary.html

- Java synchronizers provide various types of capabilities, e.g.
  - Atomic ordering
  - Mutual exclusion
    - Prevents simultaneous access to a shared resource in a critical section
    - Read/write conflicts
    - Write/write conflicts
    - Mutual exclusion is supported by the Java locks package
    - Mutual exclusion is also supported by the synchronized keyword in Java built-in monitor objects



See www.artima.com/insidejvm/ed2/threadsynch.html

# End of Types of Java Synchronizer Capabilities (Part 1)