

# **Safe Publication Techniques in Java**



**Douglas C. Schmidt**  
[d.schmidt@vanderbilt.edu](mailto:d.schmidt@vanderbilt.edu)  
[www.dre.vanderbilt.edu/~schmidt](http://www.dre.vanderbilt.edu/~schmidt)

**Institute for Software  
Integrated Systems  
Vanderbilt University  
Nashville, Tennessee, USA**



# Learning Objectives in this Part of the Lesson

---

- Understand what “safe publication” means in the context of Java objects running in concurrent programs
- Recognize “safe publication” techniques in Java that enable multiple threads to share an object

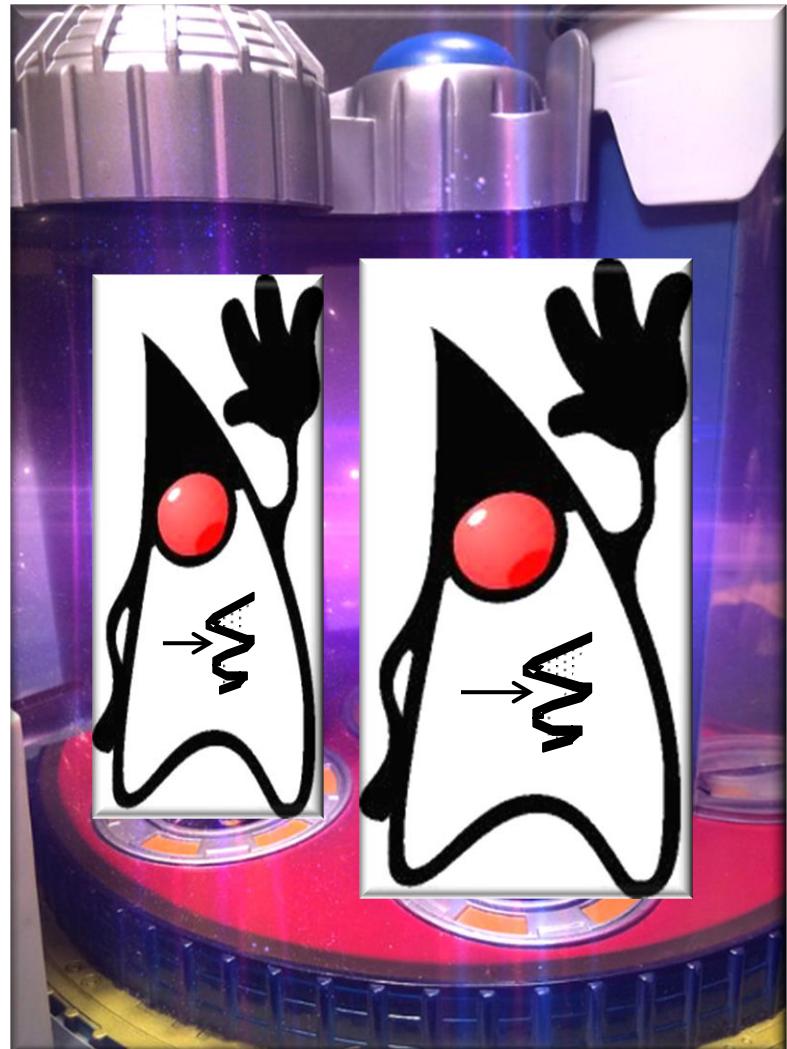


---

# Safe Publication Techniques in Java

# Safe Publication Techniques in Java

- To publish a properly constructed Java object safely
  - The reference to the object &
  - The object's statemust be made visible to other threads at the same time



See [flylib.com/books/en/2.558.1/safe\\_publication.html](http://flylib.com/books/en/2.558.1/safe_publication.html)

# Safe Publication Techniques in Java

---

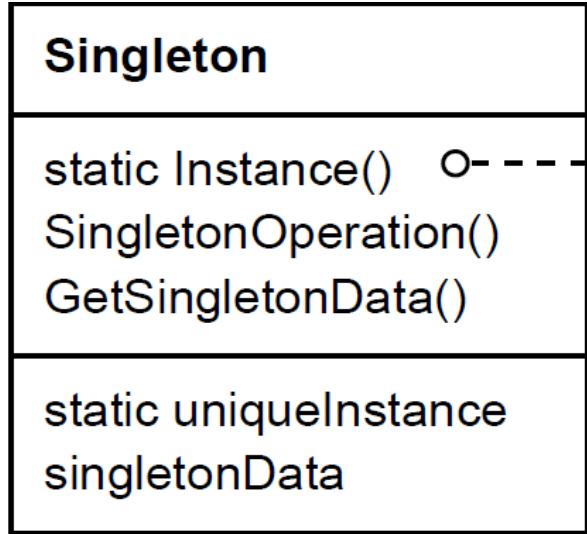
- An object can be published safely in several ways



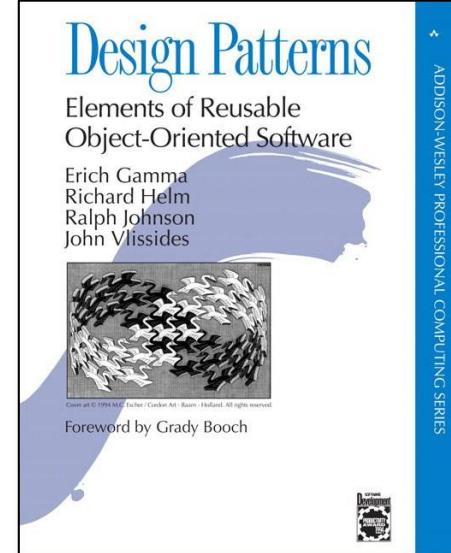
# Safe Publication Techniques in Java

- An object can be published safely in several ways

*We illustrate safe publication using the Singleton pattern*



```
If (uniqueInstance == null)
    uniqueInstance = new Singleton();
return uniqueInstance;
```



See [en.wikipedia.org/wiki/Singleton\\_pattern](https://en.wikipedia.org/wiki/Singleton_pattern)

# Safe Publication Techniques in Java

- An object can be published safely in several ways
  - Storing a reference to it into a field protected by a lock

*This critical section is protected by the Singleton Class instance's intrinsic lock*

```
class Singleton {  
    private static Singleton sInst;  
  
    public static Singleton instance() {  
        synchronized(Singleton.class) {  
            if (sInst == null)  
                sInst = new Singleton();  
  
            return sInst;  
        }  
    }  
    ...
```

# Safe Publication Techniques in Java

- An object can be published safely in several ways
  - Storing a reference to it into a field protected by a lock

*This lock ensures that both the sInst reference & the Singleton's state will be published to other threads*

```
class Singleton {  
    private static Singleton sInst;  
  
    public static Singleton instance() {  
        synchronized(Singleton.class) {  
            if (sInst == null)  
                sInst = new Singleton();  
  
            return sInst;  
        }  
    }  
    ...
```

# Safe Publication Techniques in Java

- An object can be published safely in several ways
  - Storing a reference to it into a field protected by a lock

*The drawback with this technique is that every call to instance() is synchronized*

```
class Singleton {  
    private static Singleton sInst;  
  
    public static Singleton instance() {  
        synchronized(Singleton.class) {  
            if (sInst == null)  
                sInst = new Singleton();  
  
            return sInst;  
        }  
    }  
    ...  
}
```



# Safe Publication Techniques in Java

---

- An object can be published safely in several ways
  - Storing a reference to it into a field protected by a lock
  - Storing a reference to it in a volatile

```
class Singleton {  
    private static volatile  
    Singleton sInst;  
  
    public static Singleton instance() {  
        Singleton result = sInst;  
        if (result == null) {  
            synchronized(Singleton.class) {  
                result = sInst;  
                if (result == null)  
                    sInst = result =  
                        new Singleton();  
            }  
        }  
        return result;  
    }  
    ...  
}
```

# Safe Publication Techniques in Java

- An object can be published safely in several ways
  - Storing a reference to it into a field protected by a lock
  - Storing a reference to it in a volatile



```
class Singleton {  
    private static volatile  
    Singleton sInst;  
  
    volatile ensures that multiple threads  
    share the singleton instance correctly  
  
    public static Singleton instance() {  
        Singleton result = sInst;  
        if (result == null) {  
            synchronized(Singleton.class) {  
                result = sInst;  
                if (result == null)  
                    sInst = result =  
                        new Singleton();  
            }  
        }  
        return result;  
    }  
    ...  
}
```

See [en.wikipedia.org/wiki/Double-checked\\_locking#Usage\\_in\\_Java](https://en.wikipedia.org/wiki/Double-checked_locking#Usage_in_Java)

# Safe Publication Techniques in Java

- An object can be published safely in several ways
  - Storing a reference to it into a field protected by a lock
  - Storing a reference to it in a volatile

*Only acquire the lock  
the "first time in"*

```
class Singleton {  
    private static volatile  
    Singleton sInst;  
  
    public static Singleton instance() {  
        Singleton result = sInst;  
        if (result == null) {  
            synchronized(Singleton.class) {  
                result = sInst;  
                if (result == null)  
                    sInst = result =  
                        new Singleton();  
            }  
        }  
        return result;  
    }  
    ...  
}
```

# Safe Publication Techniques in Java

- An object can be published safely in several ways
  - Storing a reference to it into a field protected by a lock
  - Storing a reference to it in a volatile

```
class Singleton {  
    private static volatile  
    Singleton sInst;  
  
    public static Singleton instance() {  
        Singleton result = sInst;  
        if (result == null) {  
            synchronized(Singleton.class) {  
                result = sInst;  
                if (result == null)  
                    sInst = result =  
                        new Singleton();  
            }  
        }  
        return result;  
    }  
    ...  
}
```



*Perform "lazy initialization" only the "first time in"*

See [en.wikipedia.org/wiki/Lazy\\_initialization](https://en.wikipedia.org/wiki/Lazy_initialization)

# Safe Publication Techniques in Java

- An object can be published safely in several ways
  - Storing a reference to it into a field protected by a lock
  - Storing a reference to it in a volatile



```
class Singleton {  
    private static volatile  
    Singleton sInst;  
  
    public static Singleton instance() {  
        Singleton result = sInst;  
        if (result == null) {  
            synchronized(Singleton.class) {  
                result = sInst;  
                if (result == null)  
                    sInst = result =  
                        new Singleton();  
            }  
        }  
        return result;  
    }  
    ...  
}
```

*volatile avoids problems with partially constructed objects*

# Safe Publication Techniques in Java

- An object can be published safely in several ways
  - Storing a reference to it into a field protected by a lock
  - Storing a reference to it in a volatile

```
class Singleton {  
    private static volatile  
    Singleton sInst;  
  
    public static Singleton instance() {  
        Singleton result = sInst;  
        if (result == null) {  
            synchronized(Singleton.class) {  
                result = sInst;  
                if (result == null)  
                    sInst = result =  
                        new Singleton();  
            }  
        }  
        return result;  
    }  
    ...  
}
```

*Return the singleton's value*

# Safe Publication Techniques in Java

- An object can be published safely in several ways
  - Storing a reference to it into a field protected by a lock
  - Storing a reference to it in a volatile

*The drawback with this approach is that it only works with Java 1.5 or later*



```
class Singleton {  
    private static volatile  
    Singleton sInst;  
  
    public static Singleton instance() {  
        Singleton result = sInst;  
        if (result == null) {  
            synchronized(Singleton.class) {  
                result = sInst;  
                if (result == null)  
                    sInst = result =  
                        new Singleton();  
            }  
        }  
        return result;  
    }  
    ...  
}
```

See [en.wikipedia.org/wiki/Double-checked\\_locking#Usage\\_in\\_Java](https://en.wikipedia.org/wiki/Double-checked_locking#Usage_in_Java)

# Safe Publication Techniques in Java

---

- An object can be published safely in several ways
  - Storing a reference to it into a field protected by a lock
  - Storing a reference to it in a volatile or AtomicReference

```
class Singleton {  
    private static AtomicReference sInst  
        = new AtomicReference(null);  
  
    public static Singleton instance() {  
        Singleton sing = sInst.get();  
  
        if (sing == null) {  
            sing = new Singleton();  
            if (!sInst.compareAndSet  
                (null, sing))  
                sing = sInst.get();  
        }  
        return sing;  
    }  
    ...  
}
```

# Safe Publication Techniques in Java

- An object can be published safely in several ways
  - Storing a reference to it into a field protected by a lock
  - Storing a reference to it in a volatile or AtomicReference

```
class Singleton {  
    private static AtomicReference sInst  
        = new AtomicReference(null);  
  
    Create an AtomicReference  
  
    public static Singleton instance() {  
        Singleton sing = sInst.get();  
  
        if (sing == null) {  
            sing = new Singleton();  
            if (!sInst.compareAndSet  
                (null, sing))  
                sing = sInst.get();  
        }  
        return sing;  
    }  
    ...  
}
```

# Safe Publication Techniques in Java

- An object can be published safely in several ways
  - Storing a reference to it into a field protected by a lock
  - Storing a reference to it in a volatile or AtomicReference

```
class Singleton {  
    private static AtomicReference sInst  
        = new AtomicReference(null);  
  
    public static Singleton instance() {  
        Singleton sing = sInst.get();  
  
        if (sing == null) {  
            sing = new Singleton();  
            if (!sInst.compareAndSet  
                (null, sing))  
                sing = sInst.get();  
        }  
        return sing;  
    }  
    ...  
}
```

*Get Singleton value & check for null*

# Safe Publication Techniques in Java

- An object can be published safely in several ways
  - Storing a reference to it into a field protected by a lock
  - Storing a reference to it in a volatile or AtomicReference

```
class Singleton {  
    private static AtomicReference sInst  
        = new AtomicReference(null);  
  
    public static Singleton instance() {  
        Singleton sing = sInst.get();  
  
        if (sing == null) {  
            sing = new Singleton();  
            if (!sInst.compareAndSet  
                (null, sing))  
                sing = sInst.get();  
        }  
        return sing;  
    }  
    ...  
}
```

*Allocate Singleton &  
atomically CAS with sInst*

# Safe Publication Techniques in Java

- An object can be published safely in several ways
  - Storing a reference to it into a field protected by a lock
  - Storing a reference to it in a volatile or AtomicReference

```
class Singleton {  
    private static AtomicReference sInst  
        = new AtomicReference(null);  
  
    public static Singleton instance() {  
        Singleton sing = sInst.get();  
  
        if (sing == null) {  
            sing = new Singleton();  
            if (!sInst.compareAndSet  
                (null, sing))  
                sing = sInst.get();  
        }  
        return sing;  
    }  
    ...  
}
```

*Update this local value if  
sInst was already set*

# Safe Publication Techniques in Java

- An object can be published safely in several ways
  - Storing a reference to it into a field protected by a lock
  - Storing a reference to it in a volatile or AtomicReference

```
class Singleton {  
    private static AtomicReference sInst  
        = new AtomicReference(null);  
  
    public static Singleton instance() {  
        Singleton sing = sInst.get();  
  
        if (sing == null) {  
            sing = new Singleton();  
            if (!sInst.compareAndSet  
                (null, sing))  
                sing = sInst.get();  
        }  
        return sing;  
    }  
    ...  
}
```

*Return the singleton's value*

# Safe Publication Techniques in Java

- An object can be published safely in several ways
  - Storing a reference to it into a field protected by a lock
  - Storing a reference to it in a volatile or AtomicReference

*The drawback is that singleton's constructor can be called multiple times..*



```
class Singleton {  
    private static AtomicReference sInst  
        = new AtomicReference(null);  
  
    public static Singleton instance() {  
        Singleton sing = sInst.get();  
  
        if (sing == null) {  
            sing = new Singleton();  
            if (!sInst.compareAndSet  
                (null, sing))  
                sing = sInst.get();  
        }  
        return sing;  
    }  
    ...
```

# Safe Publication Techniques in Java

- An object can be published safely in several ways
  - Storing a reference to it into a field protected by a lock
  - Storing a reference to it in a volatile or AtomicReference
  - Initializing an object reference from a static initializer

```
class Singleton {  
    private Singleton() {}  
  
    private static class LazyHolder {  
        private static final  
        Singleton sInst =  
        new Singleton();  
    }  
  
    public static Singleton instance() {  
        return LazyHolder.sInst;  
    }  
}
```

*This idiom relies on the initialization phase of execution within the Java execution environment (e.g., JVM)*

See [en.wikipedia.org/wiki/Initialization-on-demand\\_holder\\_idiom](https://en.wikipedia.org/wiki/Initialization-on-demand_holder_idiom)

# Safe Publication Techniques in Java

- An object can be published safely in several ways
  - Storing a reference to it into a field protected by a lock
  - Storing a reference to it in a volatile or AtomicReference
  - Initializing an object reference from a static initializer

```
class Singleton {  
    private Singleton() {}  
  
    private static class LazyHolder {  
        private static final  
        Singleton sInst =  
            new Singleton();  
    }  
  
    public static Singleton instance() {  
        return LazyHolder.sInst;  
    }  
}
```

*LazyHolder* is only initialized when the static method *instance* is invoked on the class *Singleton*, which triggers the JVM to load & initialize the *LazyHolder* class

See [en.wikipedia.org/wiki/Initialization-on-demand\\_holder\\_idiom](https://en.wikipedia.org/wiki/Initialization-on-demand_holder_idiom)

# Safe Publication Techniques in Java

---

- An object can be published safely in several ways
  - Storing a reference to it into a field protected by a lock
  - Storing a reference to it in a volatile or AtomicReference
  - Initializing an object reference from a static initializer
  - Storing a reference to it into a final field

```
class A {  
    long mNotFinal = 1;  
final long mFinal = 2;  
    ...  
}
```

...

# Safe Publication Techniques in Java

- An object can be published safely in several ways
  - Storing a reference to it into a field protected by a lock
  - Storing a reference to it in a volatile or AtomicReference
  - Initializing an object reference from a static initializer
  - Storing a reference to it into a final field
    - Final fields can be safely accessed without some form of synchronization

```
class A {  
    long mNotFinal = 1;  
final long mFinal = 2;  
    ...  
}  
  
// Thread T1  
A a = new A();  
  
// Thread T2  
long l1 = a.mFinal;  
long l2 = /a.mNotFinal;
```

*mFinal is guaranteed to be initialized by the time thread T<sub>2</sub> gets a reference to object a*

# Safe Publication Techniques in Java

- An object can be published safely in several ways
  - Storing a reference to it into a field protected by a lock
  - Storing a reference to it in a volatile or AtomicReference
  - Initializing an object reference from a static initializer
  - Storing a reference to it into a final field
    - Final fields can be safely accessed without some form of synchronization

```
class A {  
    long mNotFinal = 1;  
    final long mFinal = 2;  
    ...  
}  
  
// Thread T1  
A a = new A();  
  
// Thread T2  
long l1 = a.mFinal;  
long l2 = a.mNotFinal;
```

*mNotFinal is not guaranteed to be initialized by the time thread T<sub>2</sub> gets a reference to object a*

# Safe Publication Techniques in Java

- An object can be published safely in several ways
  - Storing a reference to it into a field protected by a lock
  - Storing a reference to it in a volatile or AtomicReference
  - Initializing an object reference from a static initializer
  - Storing a reference to it into a final field
    - Final fields can be safely accessed without some form of synchronization
    - Immutable objects in Java contain only final fields and/or only accessor methods

```
final class String {  
    private final char value[];  
    ...  
  
    public String(String s) {  
        value = s;  
        ...  
    }  
  
    public int length() {  
        return value.length;  
    }  
    ...  
}
```



# Safe Publication Techniques in Java

---

- An object can be published safely in several ways
  - Storing a reference to it into a field protected by a lock
  - Storing a reference to it in a volatile or AtomicReference
  - Initializing an object reference from a static initializer
  - Storing a reference to it into a final field
    - Final fields can be safely accessed without some form of synchronization
    - Immutable objects in Java contain only final fields and/or only accessor methods

```
final class String {  
    private final char value[];  
    ...  
  
    public String(String s) {  
        value = s;  
        ...  
    }  
  
    public int length() {  
        return value.length;  
    }  
    ...  
}
```

# Safe Publication Techniques in Java

- An object can be published safely in several ways
  - Storing a reference to it into a field protected by a lock
  - Storing a reference to it in a volatile or AtomicReference
  - Initializing an object reference from a static initializer
  - Storing a reference to it into a final field
    - Final fields can be safely accessed without some form of synchronization
    - If a final field refers to a mutable object, synchronization is needed to access the *state* of the referenced object

```
class A {  
    final String[] QBs = new String[]{  
        "Brady", "Favre", "Newton", ...  
    };  
    ...  
};  
  
A a = new A();  
  
// Thread T1  
synchronized(m)  
{ a.QBs[1] = "Manning"; }  
  
// Thread T2  
synchronized(m)  
{ a.QBs[1] = "Montana"; }
```

# Safe Publication Techniques in Java

- An object can be published safely in several ways
  - Storing a reference to it into a field protected by a lock
  - Storing a reference to it in a volatile or AtomicReference
  - Initializing an object reference from a static initializer
  - Storing a reference to it into a final field
    - Final fields can be safely accessed without some form of synchronization
    - If a final field refers to a mutable object, synchronization is needed to access the *state* of the referenced object

```
class A {  
    final String[] QBs = new String[] {  
        "Brady", "Favre", "Newton", ...  
    };  
    ...  
};  
  
A a = new A();  
  
// Thread T1  
synchronized(m)  
{ a.QBs[1] = "Manning"; }  
  
// Thread T2  
synchronized(m)  
{ a.QBs[1] = "Montana"; }
```

*QBs is final, but its contents are mutable*

# Safe Publication Techniques in Java

- An object can be published safely in several ways
  - Storing a reference to it into a field protected by a lock
  - Storing a reference to it in a volatile or AtomicReference
  - Initializing an object reference from a static initializer
  - Storing a reference to it into a final field
    - Final fields can be safely accessed without some form of synchronization
    - If a final field refers to a mutable object, synchronization is needed to access the *state* of the referenced object

```
class A {  
    final String[] QBs = new String[] {  
        "Brady", "Favre", "Newton", ...  
    };  
    ...  
};  
  
A a = new A();  
  
// Thread T1  
synchronized(m)  
{ a.QBs[1] = "Manning"; }  
  
// Thread T2  
synchronized(m)  
{ a.QBs[1] = "Montana"; }
```

*Access to QBs contents must be synchronized*

---

# End of Safe Publication Techniques in Java