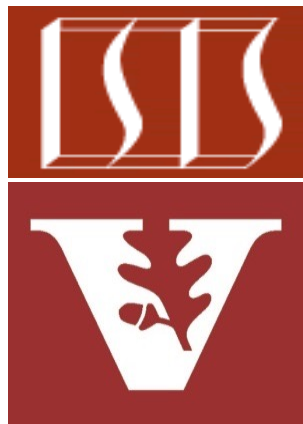


Java Parallel Streams Internals: Non-Concurrent & Concurrent Collectors (Part 1)

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Professor of Computer Science

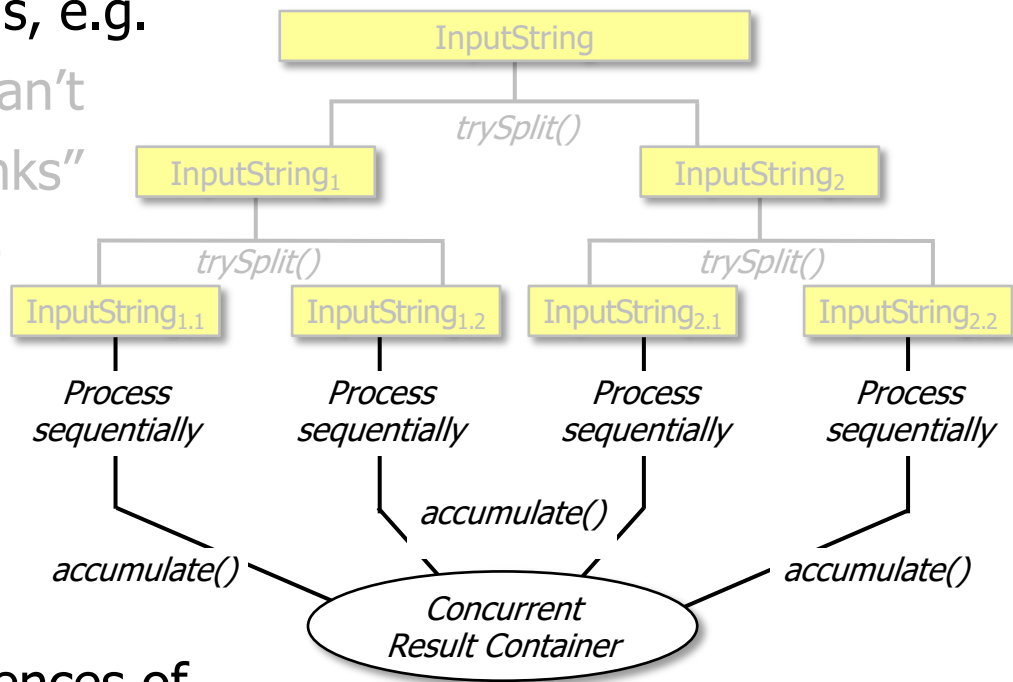
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**Vanderbilt University
Nashville, Tennessee, USA**



Learning Objectives in this Part of the Lesson

- Understand parallel stream internals, e.g.
 - Know what can change & what can't
 - Partition a data source into "chunks"
 - Process chunks in parallel via the common fork-join pool
 - Configure the Java parallel stream common fork-join pool
 - Perform a reduction to combine partial results into a single result
 - Recognize key behaviors & differences of non-concurrent & concurrent collectors



Overview of Concurrent & Non-Concurrent Collectors

Overview of Concurrent & Non-Concurrent Collectors

- Collector defines an interface whose implementations can accumulate input elements in a mutable result container

Interface `Collector<T,A,R>`

Type Parameters:

T - the type of input elements to the reduction operation

A - the mutable accumulation type of the reduction operation (often hidden as an implementation detail)

R - the result type of the reduction operation

```
public interface Collector<T,A,R>
```

A mutable reduction operation that accumulates input elements into a mutable result container, optionally transforming the accumulated result into a final representation after all input elements have been processed. Reduction operations can be performed either sequentially or in parallel.

Examples of mutable reduction operations include: accumulating elements into a `Collection`; concatenating strings using a `StringBuilder`; computing summary information about elements such as sum, min, max, or average; computing "pivot table" summaries such as "maximum valued transaction by seller", etc. The class `Collectors` provides implementations of many common mutable reductions.

A `Collector` is specified by four functions that work together to accumulate entries into a mutable result container, and optionally perform a final transform on the result. They are:

See docs.oracle.com/javase/8/docs/api/java/util/stream/Collector.html

Overview of Concurrent & Non-Concurrent Collectors

- Collector implementations can either be concurrent or non-concurrent based on their characteristics

Enum Collector.Characteristics

```
java.lang.Object
  java.lang.Enum<Collector.Characteristics>
    java.util.stream.Collector.Characteristics
```

All Implemented Interfaces:

Serializable, Comparable<Collector.Characteristics>

Enclosing interface:

Collector<T,A,R>

```
public static enum Collector.Characteristics
  extends Enum<Collector.Characteristics>
```

Characteristics indicating properties of a Collector, which can be used to optimize reduction implementations.

Enum Constant Summary

Enum Constants

Enum Constant and Description

CONCURRENT

Indicates that this collector is *concurrent*, meaning that the result container can support the accumulator function being called concurrently with the same result container from multiple threads.

IDENTITY_FINISH

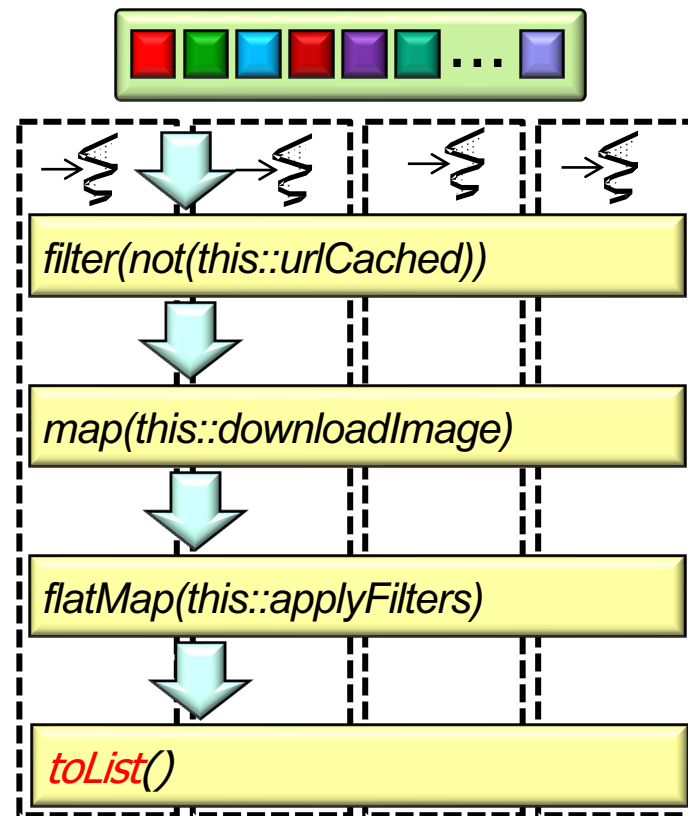
Indicates that the finisher function is the identity function and can be elided.

UNORDERED

Indicates that the collection operation does not commit to preserving the encounter order of input elements.

Overview of Concurrent & Non-Concurrent Collectors

- Collector implementations can either be concurrent or non-concurrent based on their characteristics
- This distinction is only relevant for *parallel* streams



See "Java Streams: Introducing Non-Concurrent Collectors"

Overview of Concurrent & Non-Concurrent Collectors

- Collector implementations can either be concurrent or non-concurrent based on their characteristics
 - This distinction is only relevant for *parallel* streams
 - A non-concurrent collector can be used for either a sequential stream or a parallel stream!

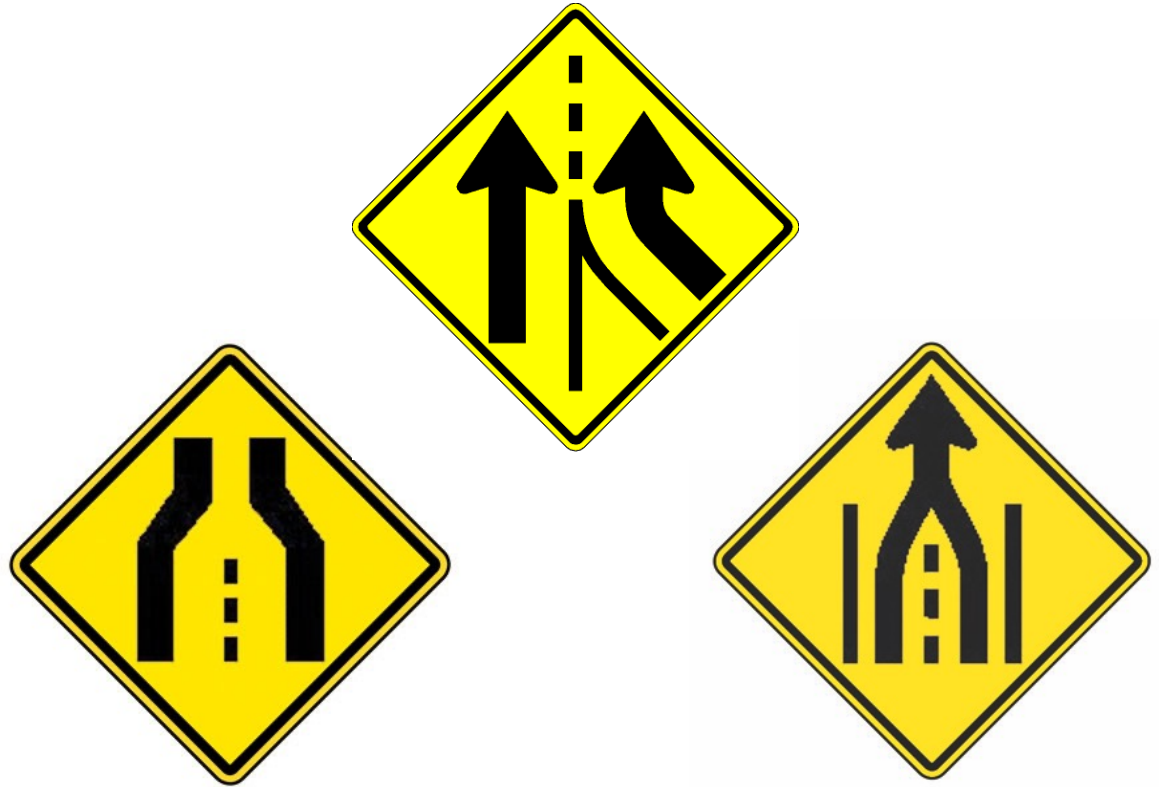


We just focus on parallel streams in this lesson

Structure & Functionality of Non-Concurrent Collectors

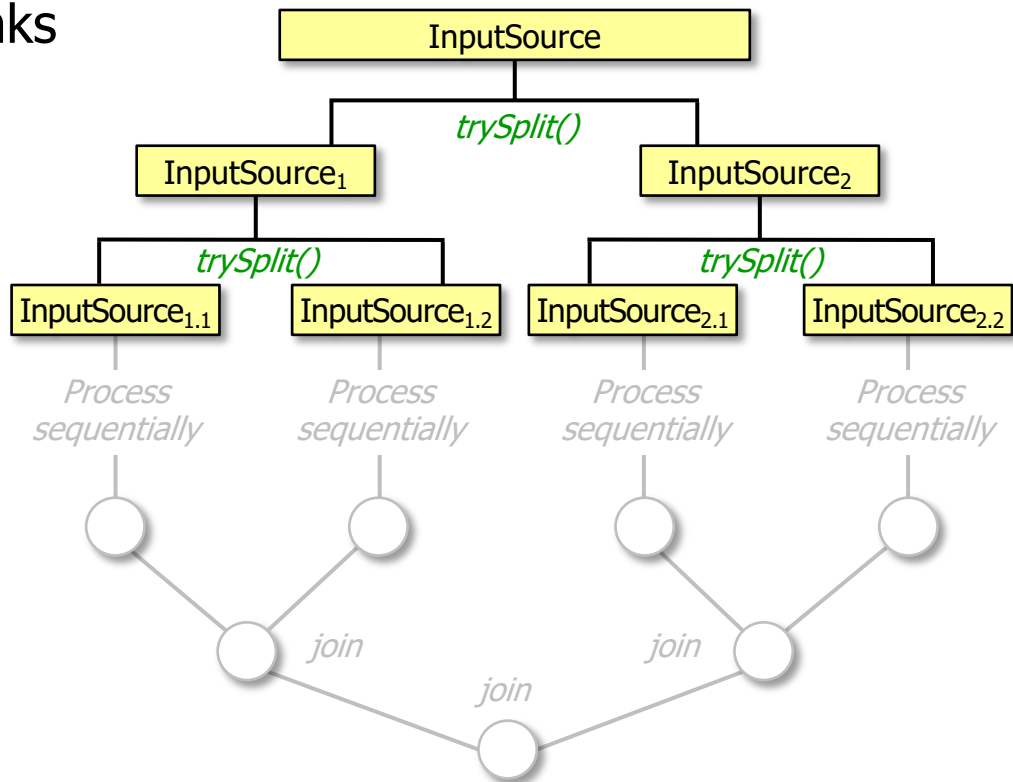
Structure & Functionality of Non-Concurrent Collectors

- A non-concurrent collector operates by merging sub-results



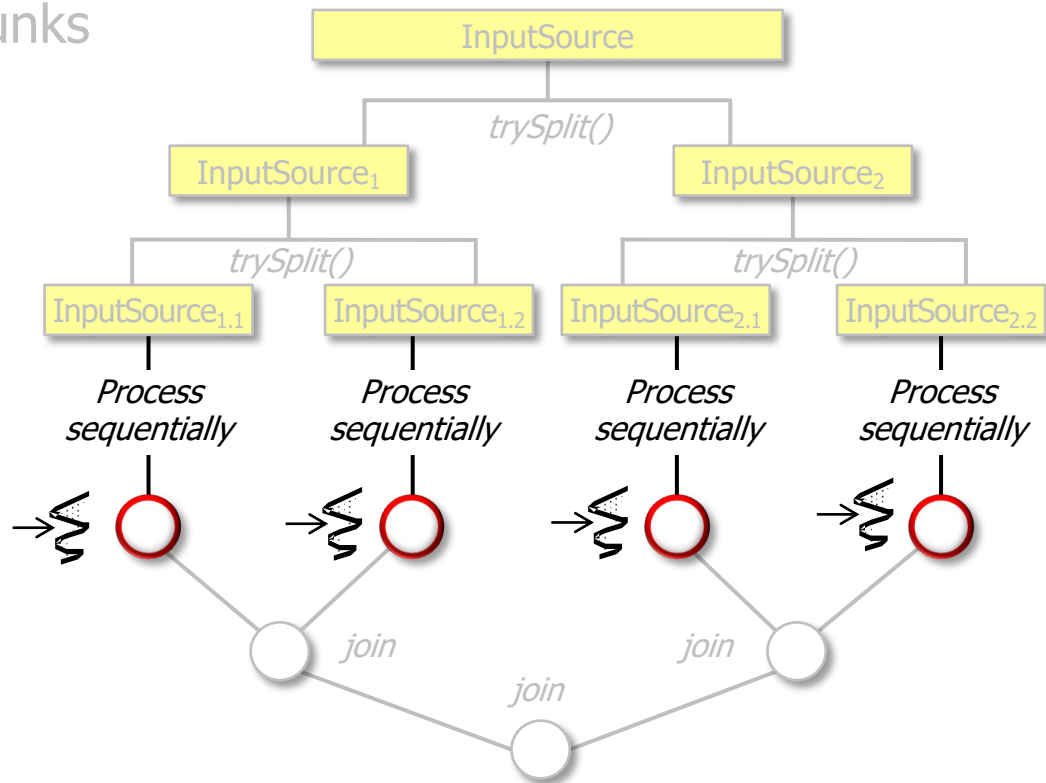
Structure & Functionality of Non-Concurrent Collectors

- A non-concurrent collector operates by merging sub-results
 - The input is partitioned into chunks



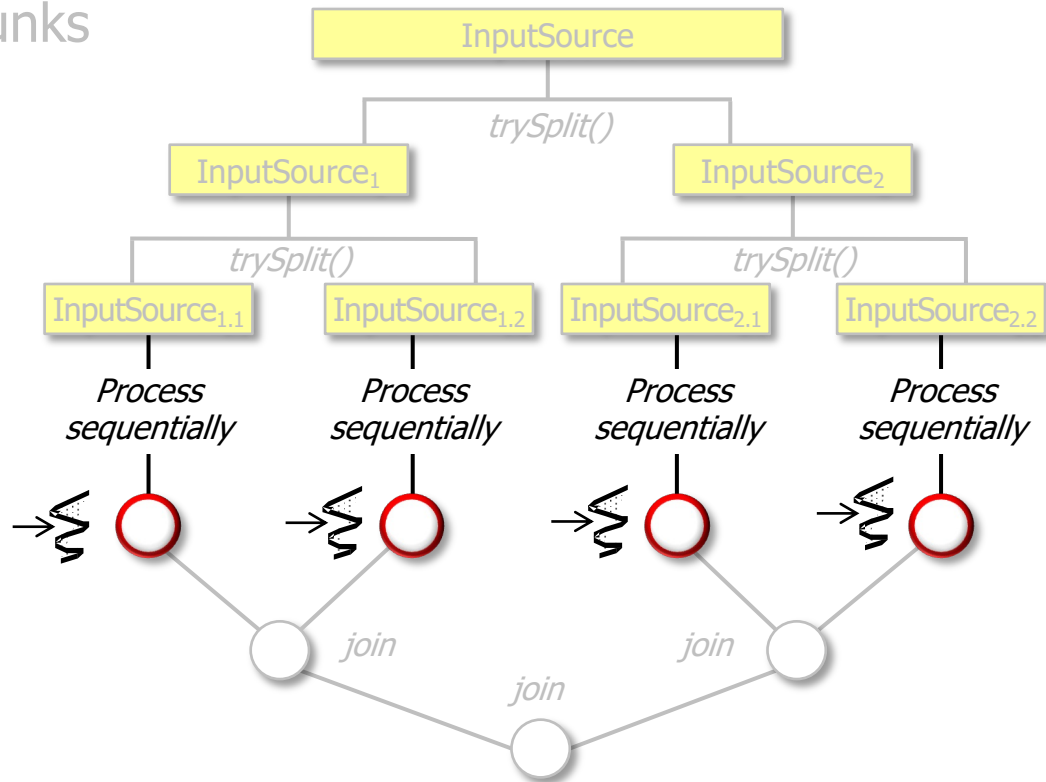
Structure & Functionality of Non-Concurrent Collectors

- A non-concurrent collector operates by merging sub-results
 - The input is partitioned into chunks
 - Each chunk runs in parallel in the common fork-join pool



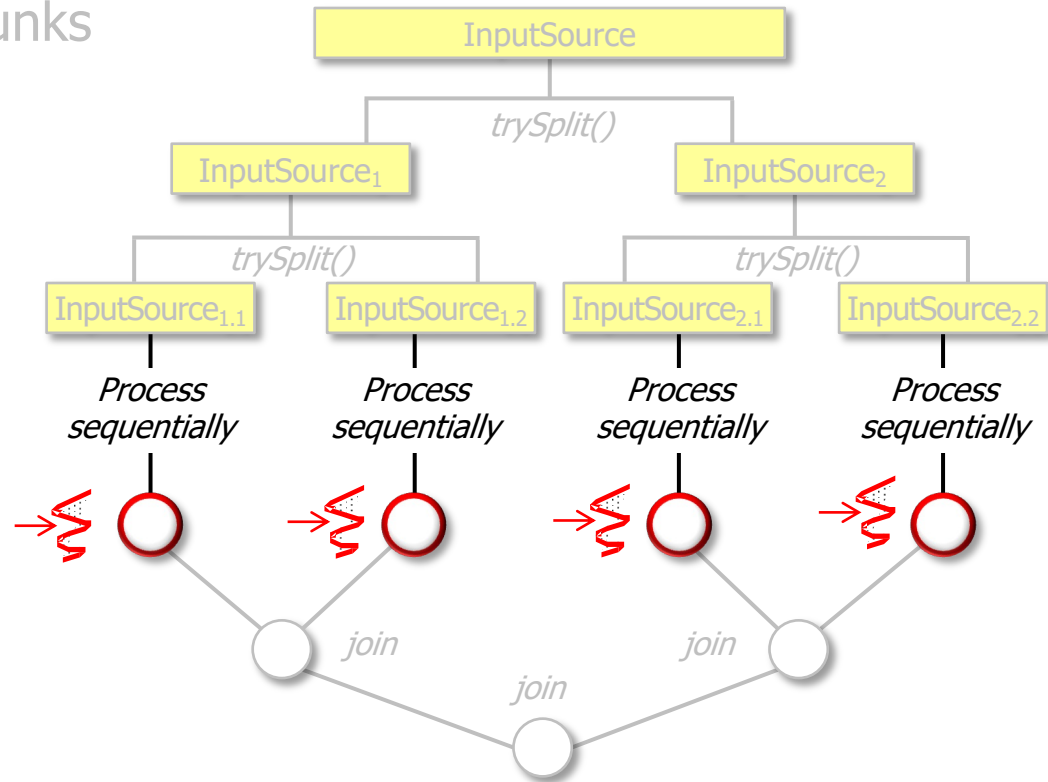
Structure & Functionality of Non-Concurrent Collectors

- A non-concurrent collector operates by merging sub-results
 - The input is partitioned into chunks
 - Each chunk runs in parallel in the common fork-join pool
 - Chunk sub-results are collected into an intermediate mutable result container
 - e.g., List, Set, Map, etc.



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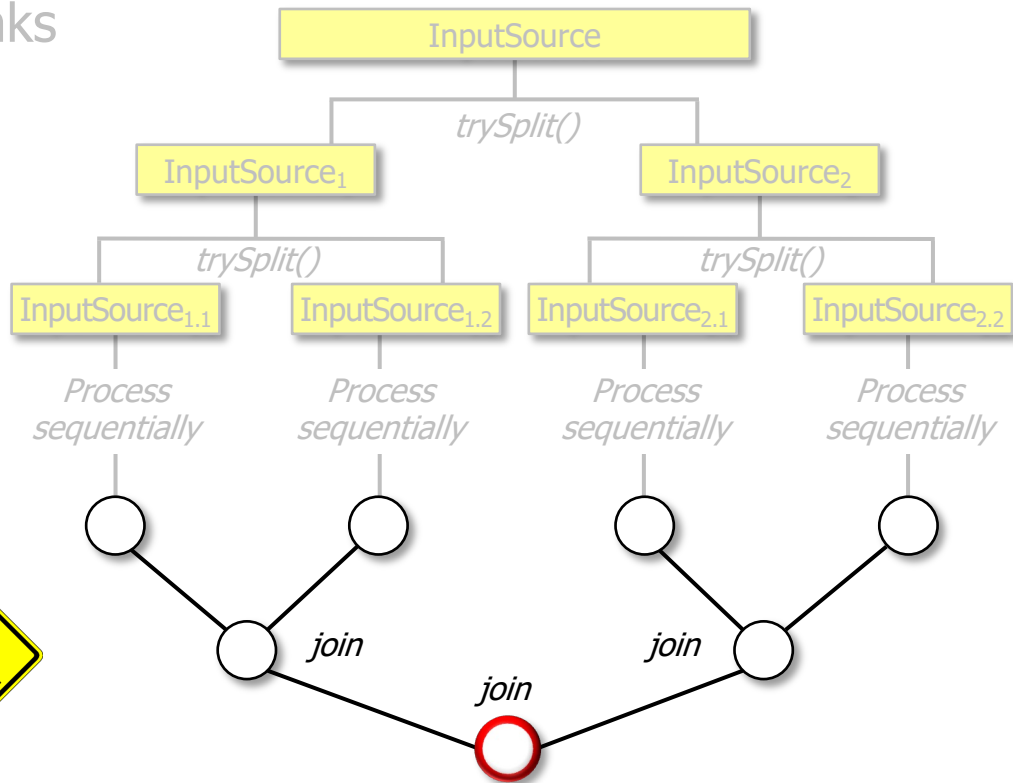
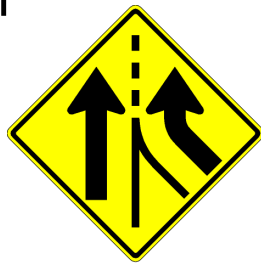


Different threads operate on different instances of intermediate result containers

Structure & Functionality of Non-Concurrent Collectors

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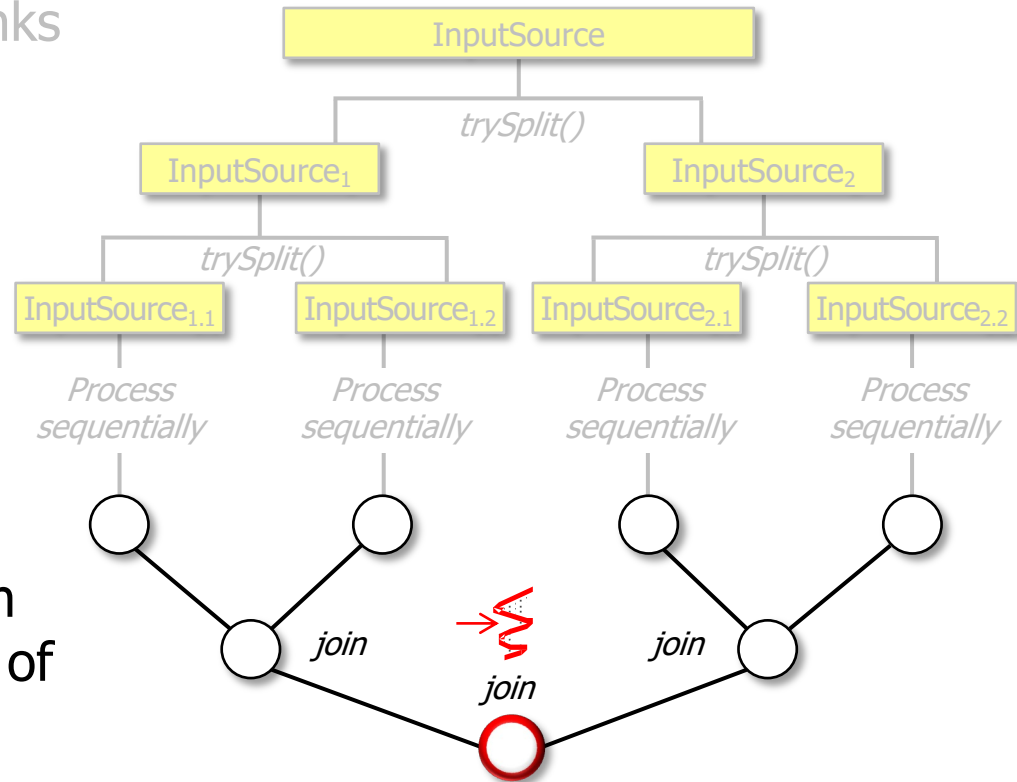
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- Sub-results are merged into one mutable result container



Structure & Functionality of Non-Concurrent Collectors

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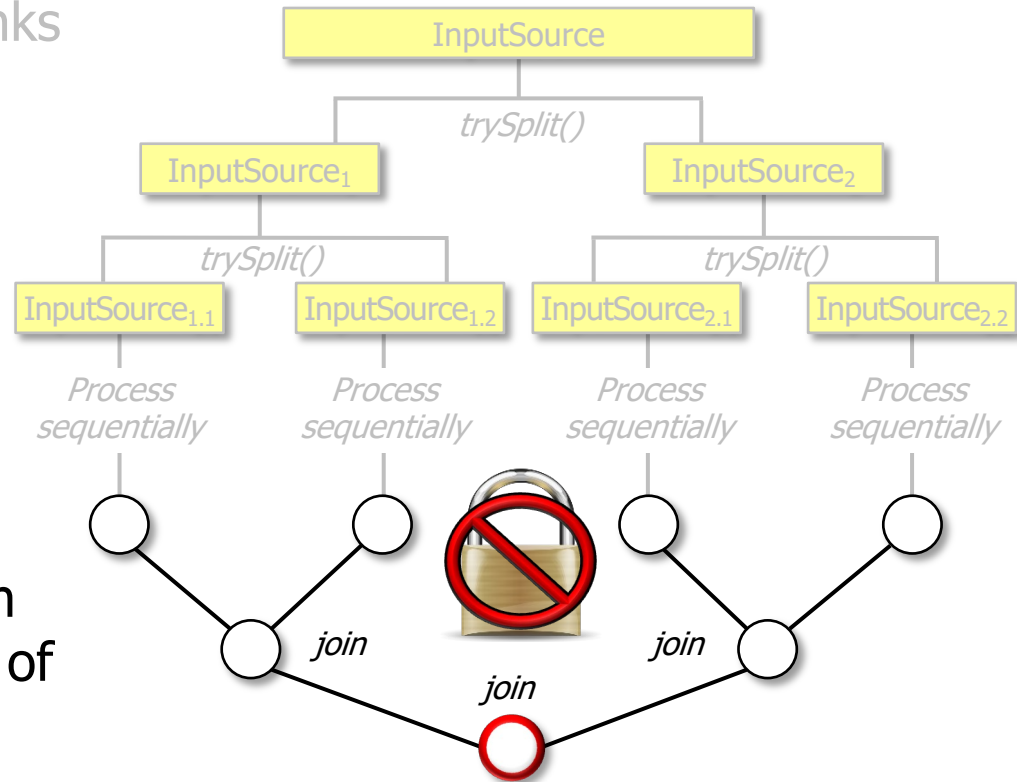
- The input is partitioned into chunks
- Each chunk runs in parallel in the common fork-join pool
- Chunk sub-results are collected into an intermediate mutable result container
- Sub-results are merged into one mutable result container
 - Only one thread in the fork-join pool is used to merge any pair of intermediate sub-results



Structure & Functionality of Non-Concurrent Collectors

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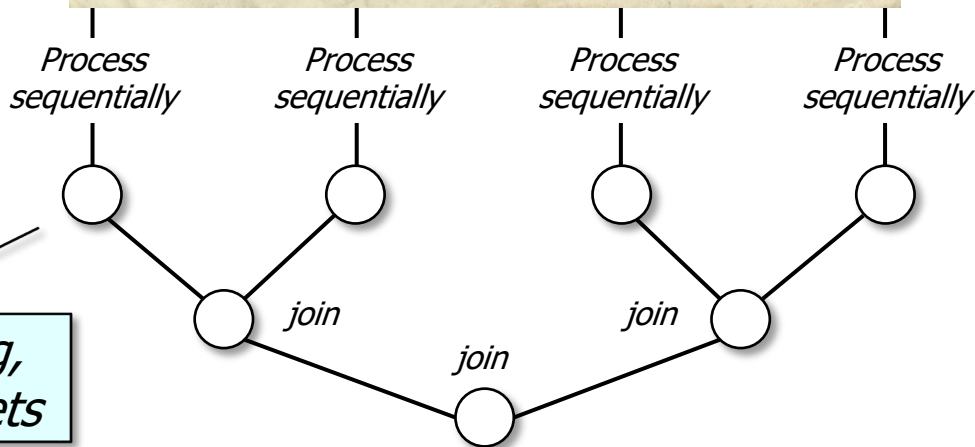
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Thus there's no need for any synchronizers in a non-concurrent collector

Structure & Functionality of Non-Concurrent Collectors

- A non-concurrent collector operates by merging sub-results
 - The input is partitioned into chunks
 - Each chunk runs in parallel in the common fork-join pool
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- Sub-results are merged into one mutable result container



This process is safe & order-preserving, but costly for containers like maps & sets

Structure & Functionality of Concurrent Collectors

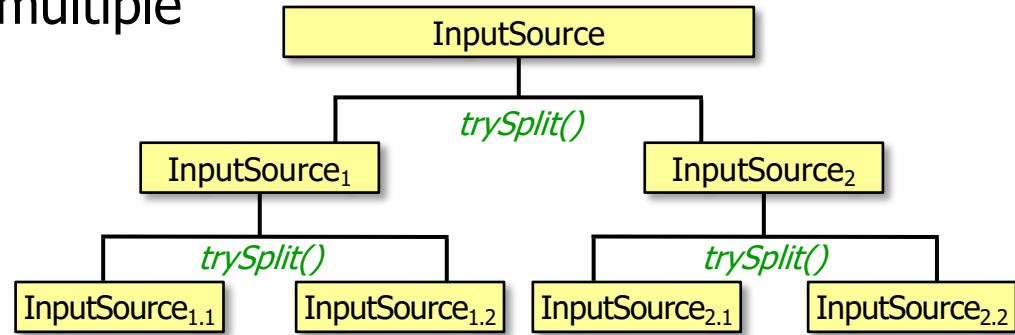
Structure & Functionality of Concurrent Collectors

- A concurrent collector creates one concurrent mutable result container & accumulates elements into it from multiple threads in a parallel stream



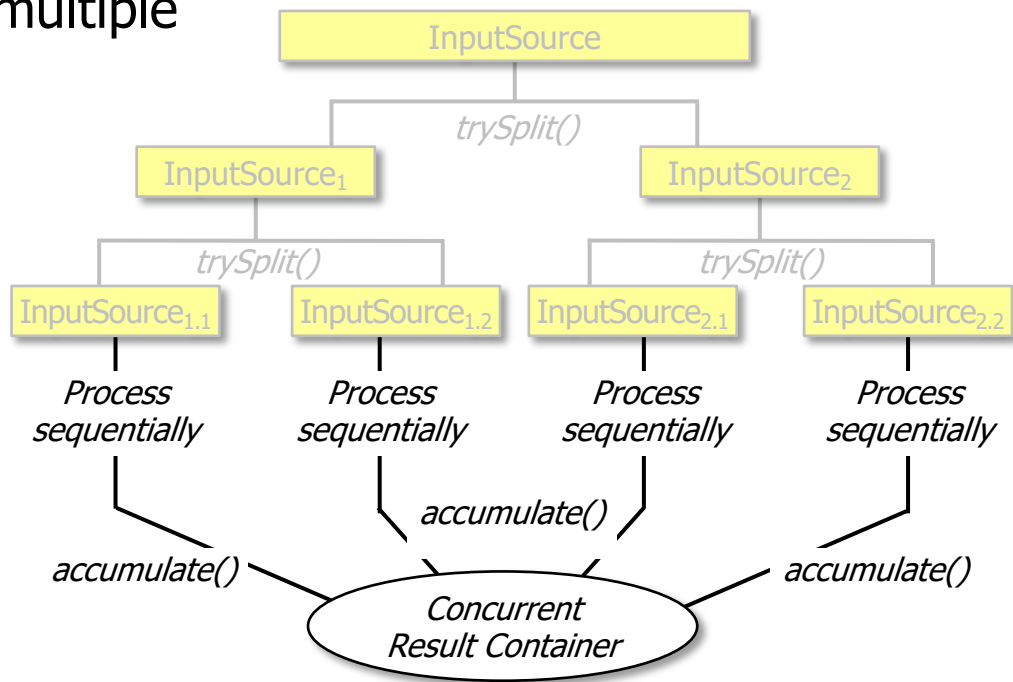
Structure & Functionality of Concurrent Collectors

- A concurrent collector creates one concurrent mutable result container & accumulates elements into it from multiple threads in a parallel stream
- As usual, the input is partitioned into chunks



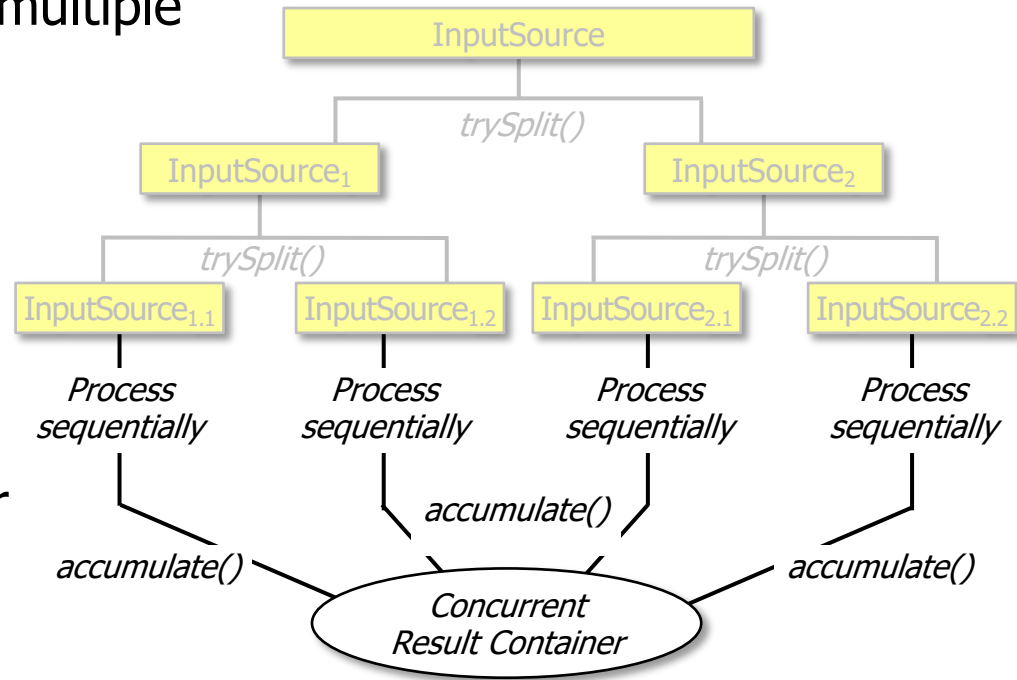
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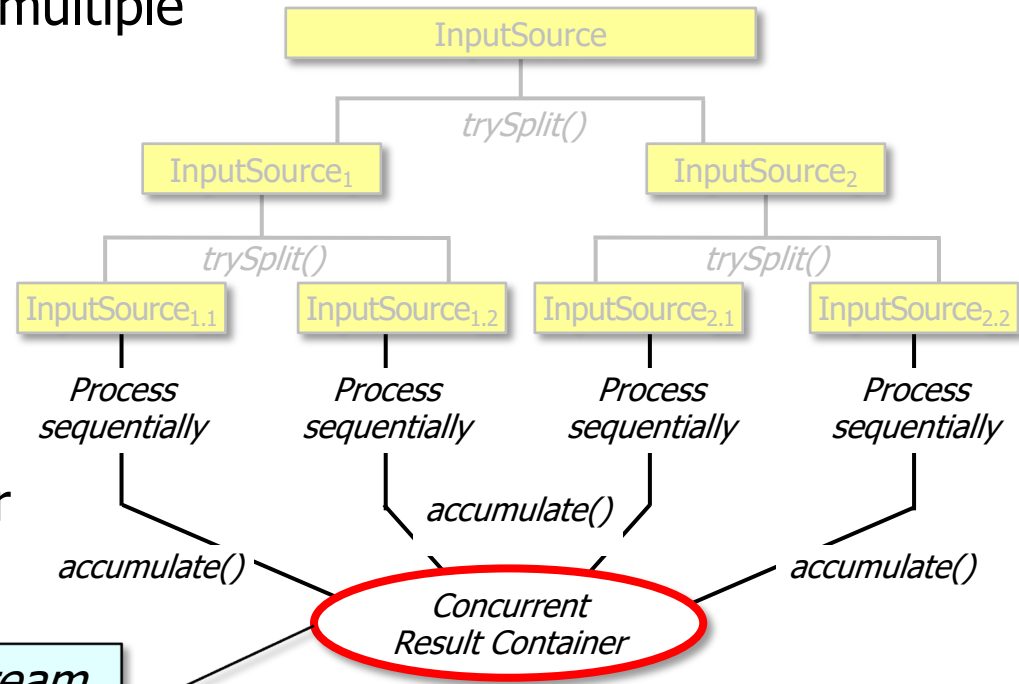
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 - e.g., a concurrent collection



Structure & Functionality of Concurrent Collectors

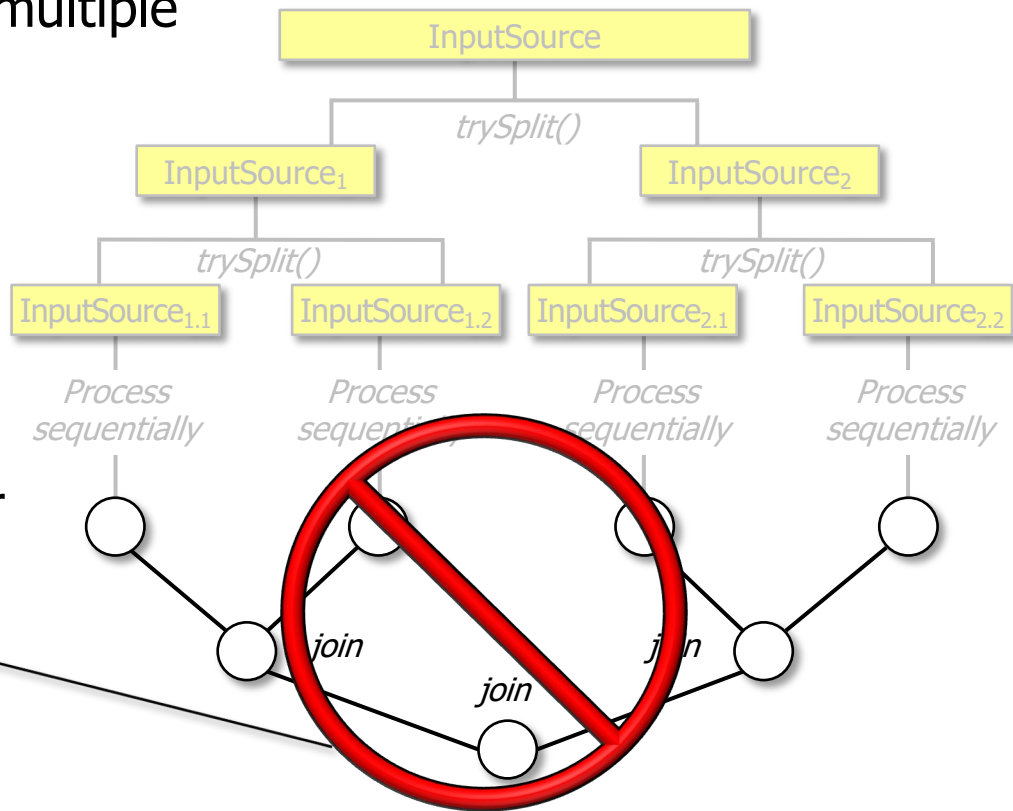
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Different threads in a parallel stream share one concurrent result container

Structure & Functionality of Concurrent Collectors

- A concurrent collector creates one concurrent mutable result container & accumulates elements into it from multiple threads in a parallel stream
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 - Each chunk runs in parallel in the common fork-join pool
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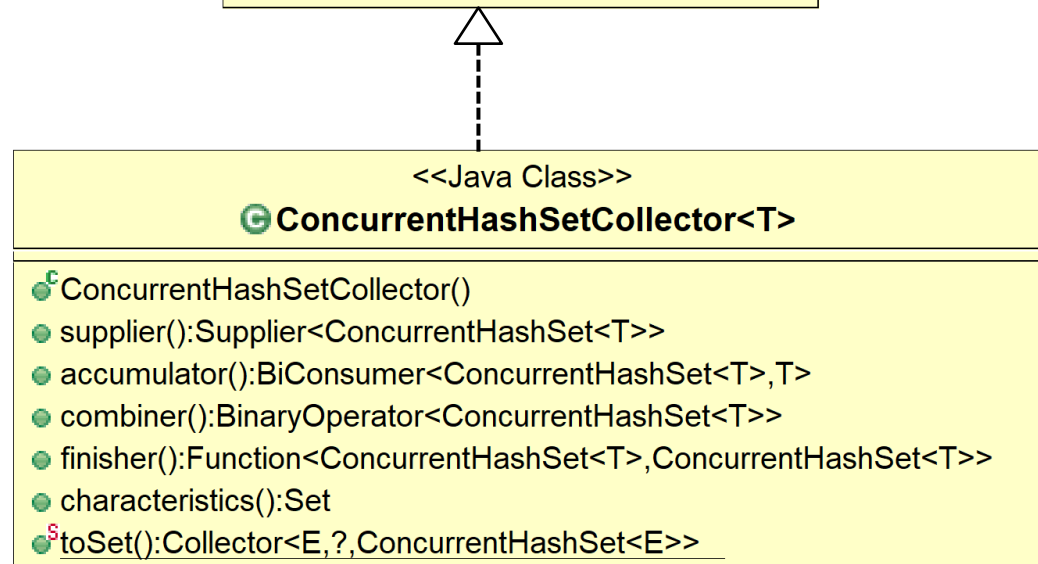
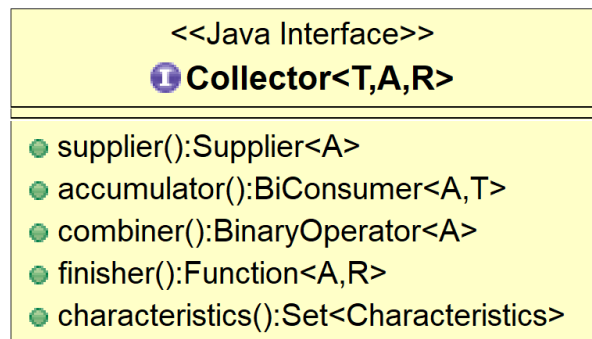


Thus there's no need to merge any intermediate sub-results!

Of course, encounter order is not preserved & synchronization is required..

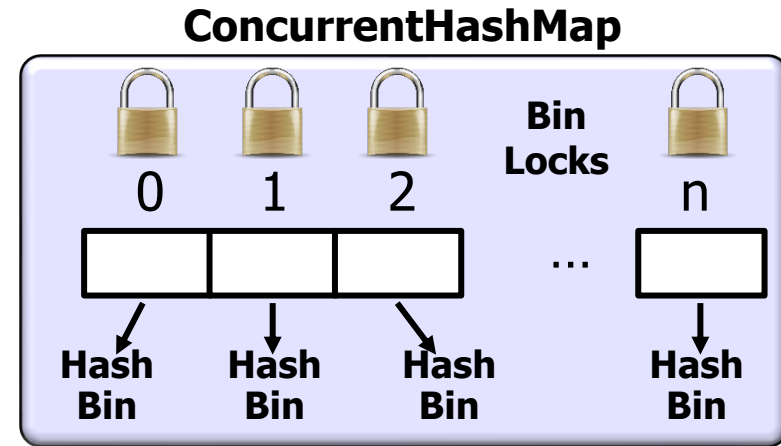
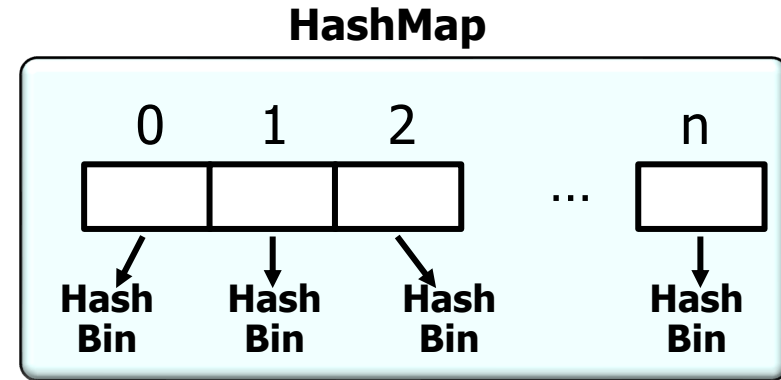
Structure & Functionality of Concurrent Collectors

- A concurrent collector *may* out-perform a non-concurrent collector *if* merging costs are higher than synchronization costs



Structure & Functionality of Concurrent Collectors

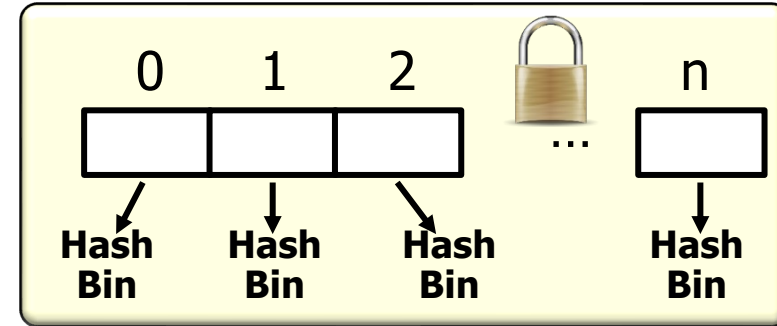
- A concurrent collector *may* out-perform a non-concurrent collector *if* merging costs are higher than synchronization costs
- Highly optimized result containers like ConcurrentHashMap may be more efficient than merging HashMaps



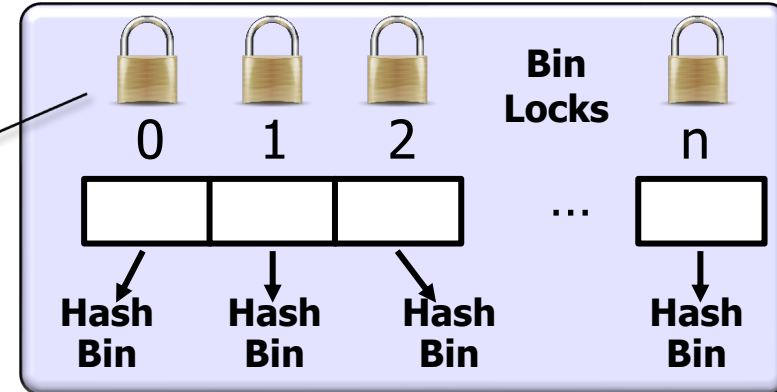
Structure & Functionality of Concurrent Collectors

- A concurrent collector *may* out-perform a non-concurrent collector *if* merging costs are higher than synchronization costs
- Highly optimized result containers like ConcurrentHashMap may be more efficient than merging HashMaps
- ConcurrentHashMap is also more efficient than a SynchronizedMap

SynchronizedMap



ConcurrentHashMap



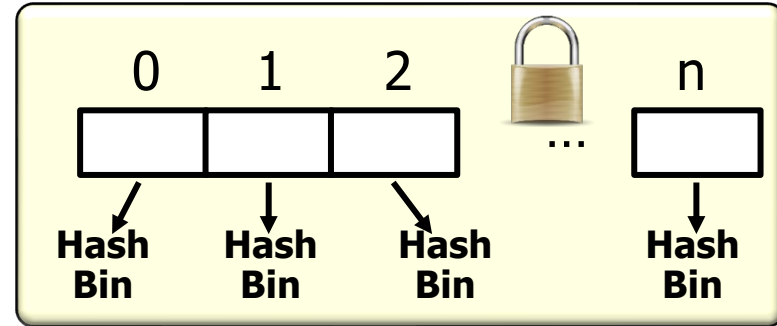
Contention is low due to use of multiple locks

Structure & Functionality of Concurrent Collectors

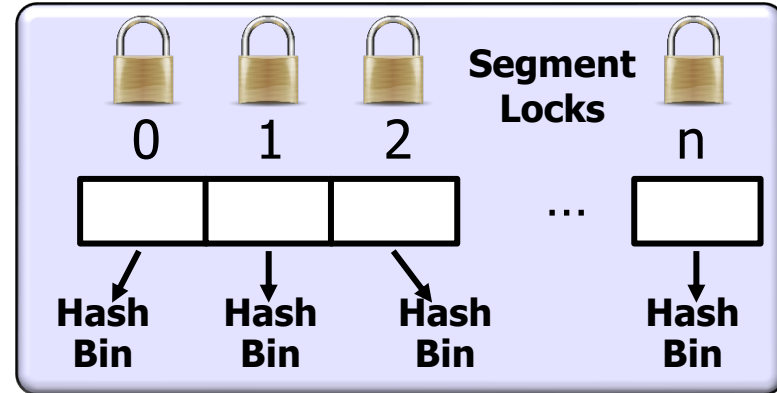
- A concurrent collector *may* out-perform a non-concurrent collector *if* merging costs are higher than synchronization costs
- Highly optimized result containers like `ConcurrentHashMap` may be more efficient than merging `HashMaps`
- `ConcurrentHashMap` is also more efficient than a `SynchronizedMap`

In contrast, `SynchronizedMap` uses just one lock

SynchronizedMap



ConcurrentHashMap



End of Java Parallel Streams Internals: Non-Concurrent & Concurrent Collectors (Part 1)